

TDE Breakout Session May 6th, 2010 Self-Governance Conference

Sharee Freeman and Ron Allen are the co-chairs of the Data Management Subcommittee (DMC). Twenty to thirty tribes are needed to be involved in the Tribal Data Exchange (TDE) pilot project.

Pam Norris was the first speaker. Pam is the self-governance director of Shoalwater Bay. Pam has been working with data management initiative since 1997. Data and budget needs were not being represented within the DOI budget process for self-governance tribes. The gap at the tribal level and the DOI budget requests was widening. The DMC came under the leadership of the Tribal Budgetary Advisory Committee (TBAC). The TBAC charged the DMC to create a policy on protocols of data ownership, data security and the use of the data. The data policy is right now in the office of the Assistant Secretary of Indian Affairs. More tribal programs are being removed from TPA and calls for data are becoming more frequent. The TDE system will eliminate duplicative data calls. Ms. Stephanie Taylor is contracting for the DMC to create the TDE system.

Ms. Taylor will present over the TDE system and then give a preview of the TDE prototype. Stephanie will provide an overview of the data structure from the BIA system into the TDE. The Activity Based Costing System (ABCM) has a cost side and a performance side. The 2003-2008 DOI strategic plan was the first plan to roll into the ABCM. The Office of Planning and Policy Analysis (OPPA) approached Stephanie to create a system in 2006 that was separate from the DOI system. Tribes were looking for a system to manage their internal data.

Phase 1 will focus on measures and programs that align to the DOI strategic plan. The later phase will look in more detail into tribal programs. The requirements formulation for phase 1 has been completed. Now the functional prototype is being developed. Feedback from the tribes on the prototype will be needed. Testing on the TDE system will need to be done. The pilot project will roll out on October 1. Tribes can give the system a work over for testing.

Types of data within the TDE: ABCM has all the DOI strategic plan measures. Within ABCM, the department manager will assign the Bureau's measures. Measures are then assigned to the regions. Data transfer will happen at the regional level. Bureau specific measures can be assigned at the regional level. Tribal measures can also be created. Tribes' internal information will be able to be tracked with tribal measures.

Data Structure: Once a measure is assigned to a region, the performance manager will assign measures to the tribe and agency. Data will start at the agency/tribal level roll up to the region up to the BIA/BIE and then up to the DOI.

Measure Data Flow: The Indian Affairs Performance Management System (IAPMS) is the system that makes the data transfer happen between the TDE and ABCM. Data at the agency or tribal level will never leave. Tribes will only be able to view their own data and tribal data in the aggregate.

Roles and privileges: A tribal performance manager (TPM) will be responsible to transfer tribal data to the DOI. The TPM will be responsible to summarize data from tribes to the regional level.

Budget and Performance: Departmental activities have only been aligned to the DOI strategy. The DOI has not been able to cost to their measures and work activities.

TDE Architecture: ABCM and IAPMS set within the same network and share the same database. The TDE is hosted out in a tribal facility. TDE is separate from ABCM and IAPMS.

Next Steps: Reporting requirements, training requirements, testing and pilot participants.

Was the 638 and direct service tribes' data getting into the system? It was hit and miss. The tribes couldn't enter their own data into the system because the program managers were having to enter data. There was no consistency at the regional level regarding the inputting of data.

Will the DMC policy be for tribal review? That will be the TBAC's call.

How do you handle if the tribes are supplementing programs? Tribes don't want to get into means testing. Funding issues are not addressed within phase I of the TDE. The DMC has addressed the supplementing of programs. Tribal leaders will need to give their input regarding funding and programs. The system has places where quantitative and qualitative data can be inputted.

Does this system cover clinical GPRA measures? No, this system covers DOI GPRA measures.

How would data consistency be covered within the system? Will tribal measures be unique to their own tribes? The data will be aligned with measures. Previous year's data and baselines will be available for review within the TDE. There will be guidance regarding the input of data. A piece of the system will not roll up. Data against the key measures will be transferred.

TDE prototype: Sections of the screen can be enlarged. TDE folders are placed at the left side of the screen as a menu. The last strategic plan really minimized where tribes were. The opportunity of tribes to provide input within the new DOI strategic plan has taken place. Your own tribal folders can be created to show what needs to be reported against. Two charts at the top of the screen will show target assessments from current year and the previous year. The number of clicks within IAPMS and ABCM can be cumbersome. The tab navigation within the TDE gives ease to enter data and navigate back and forth. Data points and key terms with data measures can be viewed with ease. Key terms can be easily viewed. Targets, actual, data source, performance explanation and steps to improve are tabs that can be clicked on. The Bureau's request and secretary's requests data are going to be locked. Data performance elements on the tribal side have been mapped out by OPPA and the DMC. Mapping will show where measures and targets are for programs. After the FY is closed, the DOI goes in and uses a performance reporting assessment tool. The tool shows how many targets you have assigned to you. How many targets you have acceded, where you have not reported and targets you have not reached. Performance explanation and steps to improve will be summarized by the performance manager. A tribal data manager will be a super user that can drill down into every tribe's data. Stephanie has really listened to the tribes and their data needs.

How many users can be on the system at one time for a tribe? The number of users will be up to the tribe's discretion. The system will be on its own server. Data is captured for the agency and not the user. When users are being added, levels below the tribe can be added to have multiple users.

How can one person do all this data entry without having all the program info? Within TDE, there is a notion called a sub measure. The example used was permits. There are different kinds of permits. A single measure can be broken down into a sub measure. A sub measure can be defined from A – Z. You want your Housing people to enter Housing data and not other department people.

Will departments within a village with separate contracts all be able to enter data? Yes, the system does not single out self-governance tribes or contract tribes.

Will user training be provided? Test cases will be provided. Guidance will be provided.

Will the system will be able to identify what data is tribal and federal? Yes, measures are aligned.

What happens when there is unmet need and it is not covered? If a measure is assigned to you, and no data is entered, then that will show up as a no report. Tribes have to establish a baseline. There is a difference between a no report and a zero value. No reports show up on the accountability report. A reason can be entered in the performance explanation that describes unmet need. Performance measures are now being captured but not the financial aspect.

On the left side of the prototype, can tribes have their own measures? The tribes' measures can be viewed only if the tribe wants the system set up that way. The TDE can generate lists of measures for each tribe. SG tribes want the data back from the other levels. That can be set up.

Are there steps to secure data that can't be overridden? Yes.

Are the tribes to incur costs for the server? The server has already been funded.

The TDE is tied into the new DOI secretarial plan. Ten tribes have volunteered for the pilot project. Volunteer tribes will need to participate in all the DMC meetings. Andre and Stephanie have made a lot happen. This system will lead to tribes receiving more funding.

Andre King: 270 comments for Indian Affairs regarding the DOI strategic plan were collected. All the info regarding the comments was used as a framework. OPPA is meeting weekly regarding the strategic plan. The strategic plan has to take 8 bureaus and OST into consideration. A Bureau wide meeting will be had some time next month regarding the strategic plan.

Programs such as road maintenance and how they fit into tribal government measures were mapped. PART measures, DOI strategic plan measures, suggested measures, activities supporting measures are the types of measures on the maps. The measure map gives a framework structure. The bottom portion of the map is tribal and BIA activities that align to performance measures. The map key tells what measures, activities and allocations are represented. 60 percent of the comments for the strategic plan came from tribes.

Are the 270 comments available for tribes to see? Andre can see about getting those out.