

# Indian Health Service

Office of Tribal Self-Governance

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# Office of Tribal Self-Governance

- Organizational Structure
- Staff Structure
- Roles and Responsibilities
- Tribal Delegation Meetings
- Tribal Consultation
- Planning and Negotiation Cooperative Agreements
- Wrap-up

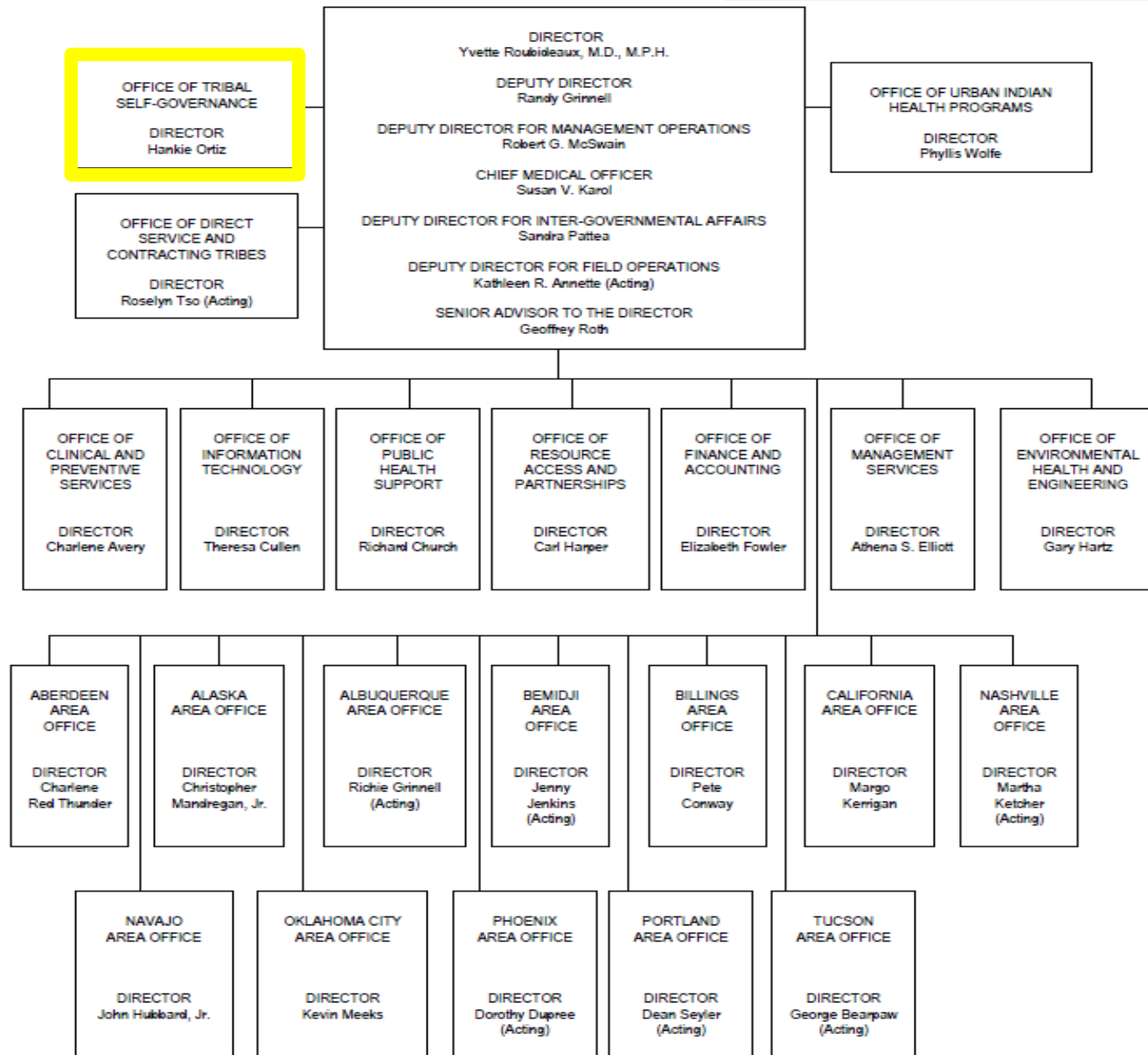


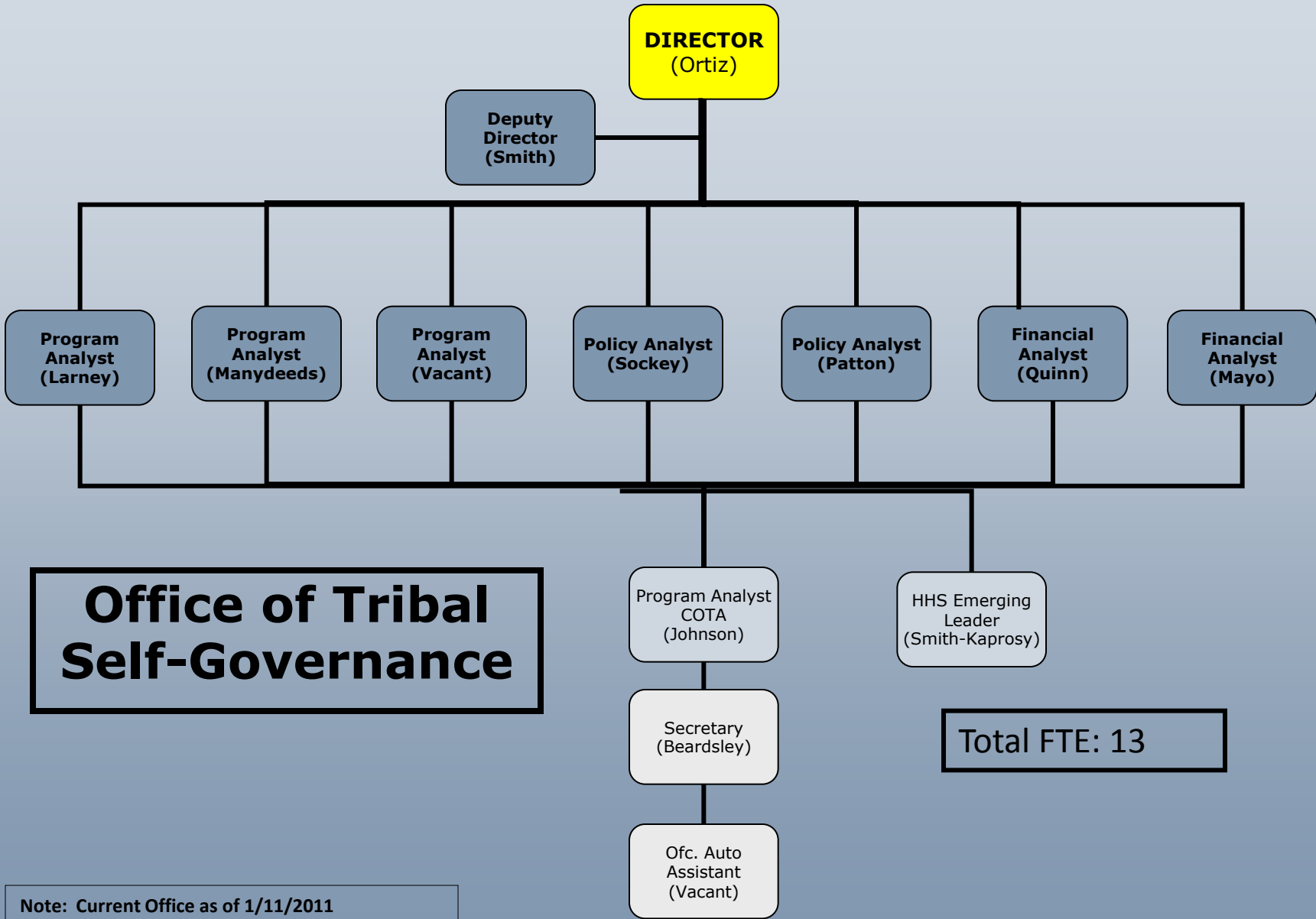
# DEPARTMENT OF HEALTH AND HUMAN SERVICES

## INDIAN HEALTH SERVICE

Approved: /Yvette Roubideaux/

Date: 5/31/2011





**Office of Tribal Self-Governance**

Note: Current Office as of 1/11/2011

# Self-Governance Goals

- Formalize U.S. & Tribal relations on a Government-to-Government basis
- Promote social, economic, political, and cultural stability and self-sufficiency
- Establish better fiscal accountability through expanded Tribal decision making
- Change U.S. role from day-to-day management to advocates of Tribal interests

# OTSG Key Activities

- Self-Governance Implementation
- Indian Self-Determination and Education Assistance Act (ISDEAA)
- Policy Development for TSGP
- Agency Advisor
- Compact/Funding Agreement Negotiations
- Special Project Oversight
- Nation-wide Self-Governance Education and Outreach
- Tribal Consultation



# Roles of OTSG—Program Analysts

- Administer and Facilitate Self-Governance Negotiation process
- Analyzes and evaluates the PSFAs being considered for Self-Governance.
- Process completed Compacts and Funding Agreements
- Provides support and technical assistance on Self-Governance issues

# Roles of OTSG—Policy Analysts

- Works on a wide range of national issues
- Administer OTSG Cooperative Agreements
- Maintains and updates OTSG Policy Manuals
- Review HHS Agency policy and regulations
- Composes responses to Congressional inquiries
- Prepares briefing materials for OTSG and the IHS Director
- Conducts research and analysis

# Roles of OTSG—Financial Analysts

- Makes the payments to the Self-Governance Tribes at the beginning of the funding cycle (Fiscal, Calendar).
- Makes payments based on amendments to funding tables throughout the year.
- This year: 8 continuing resolution 30 day payments and one final continuing resolution for remainder of the year. Each CR had a payment cycle for each of the Self-Governance Tribes.
- Audit Review for Self-Governance Eligibility
- OTSG Budget Activities

# **Title V Self-Governance Tribal Delegation Meetings**

Andrea Patton, JD  
Policy Analyst, Office of Tribal Self-Governance



# Purpose

- **Respect the nation-to-nation relationship:** A means for direct communication between the IHS Director and Tribal Leaders
- **Address specific topics:** Opportunity to discuss health-related projects and issues



Tribal Delegation Meeting  
with the Oklahoma Coalition, May 2011



# OTSG Involvement



- ✓ Serve as a **liaison** between Tribes and the IHS
- ✓ Set up a **meeting time and location**
- ✓ **Identify IHS staff** to attend and participate in the meeting
- ✓ **Collect and provide briefing documents** for the IHS Director and IHS Senior Staff

- ✓ **Facilitate** the meeting
- ✓ **Provide relevant information** about the Tribal Self-Governance Program during meetings
- ✓ **Track and monitor** follow-up actions and communications
- ✓ Provide a **follow-up letter** to Tribe

# Requesting a Meeting

- **Contact:**

Andrea Patton  
Policy Analyst  
Office of Tribal Self-Governance  
801 Thompson Ave., Suite 240  
Rockville, MD 20852  
(301) 443-7821 – main  
[Andrea.Patton@ihs.gov](mailto:Andrea.Patton@ihs.gov)

- **OTSG will ask for:**

1. Names of attendees
2. List of specific issues
3. Range of available meeting times



# **Tribal Consultation**

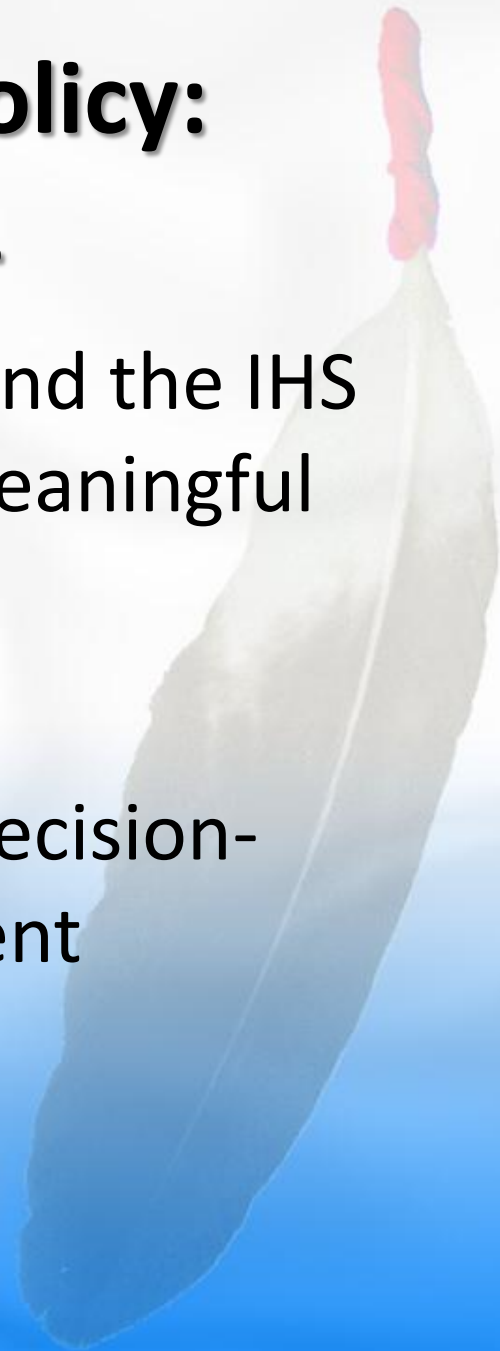
Anna Johnson

Program Analyst, Office of Tribal Self-Governance

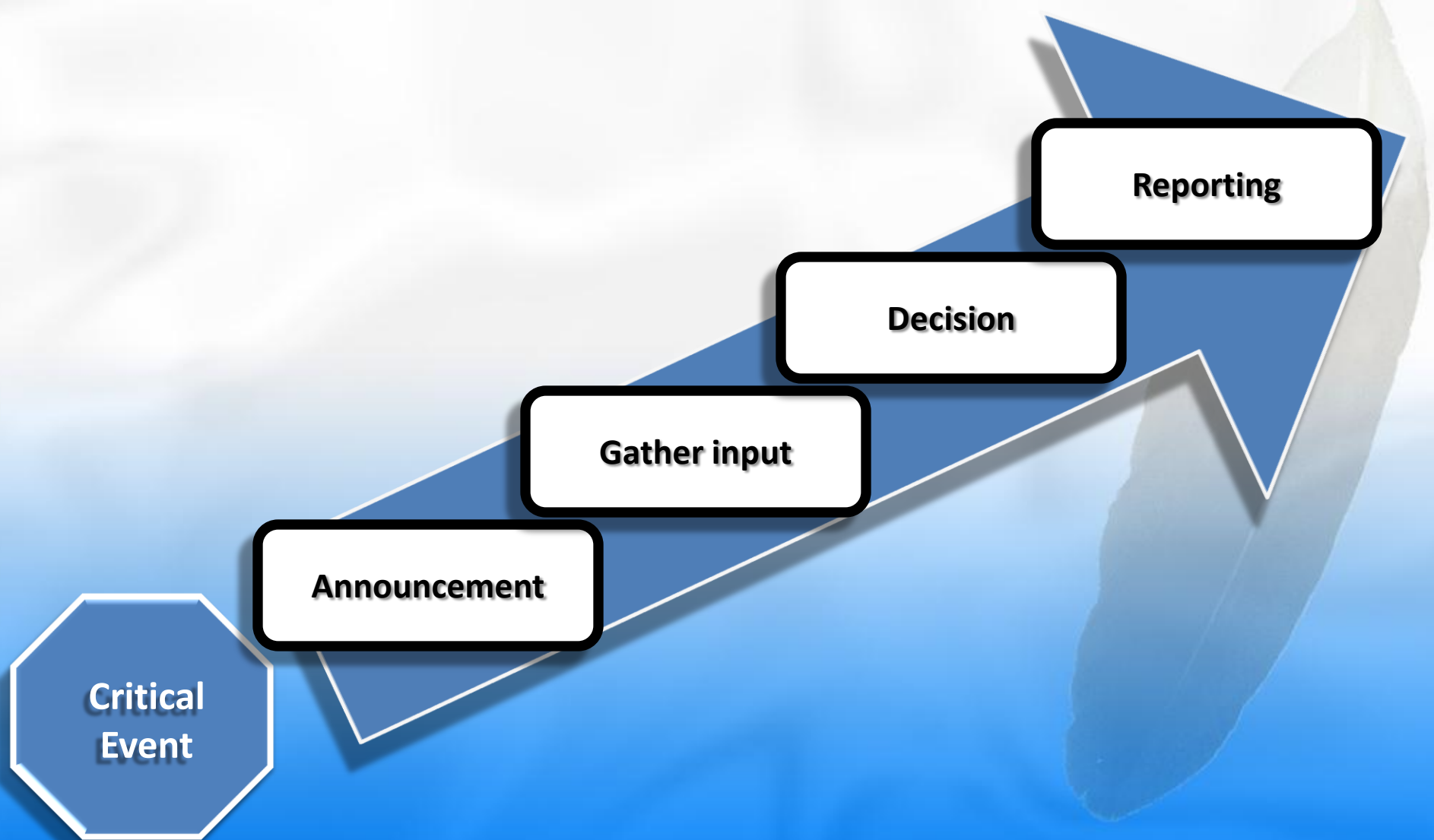


# **IHS Tribal Consultation Policy: IHS Circular 2006-01**

- “...it is essential that Indian Tribes and the IHS engage in open, continuous, and meaningful consultation.”
- “...Indian Tribes participate in the decision-making process to the greatest extent possible.”

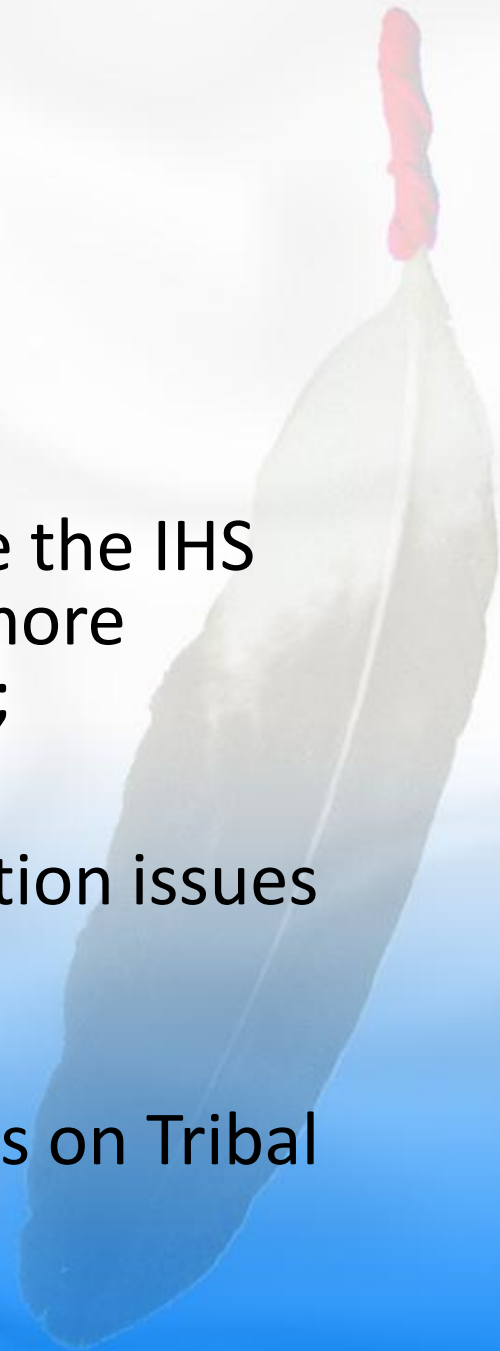


# Tribal Consultation Process



# DTAWC Purpose

- **Advises** the Director, IHS;
- **Provides recommendations** to improve the IHS Tribal consultation process to make it more meaningful, effective, and accountable;
- **Provides guidance** on general consultation issues to the Director, IHS; and,
- **Meets when needed** to review progress on Tribal consultation efforts.



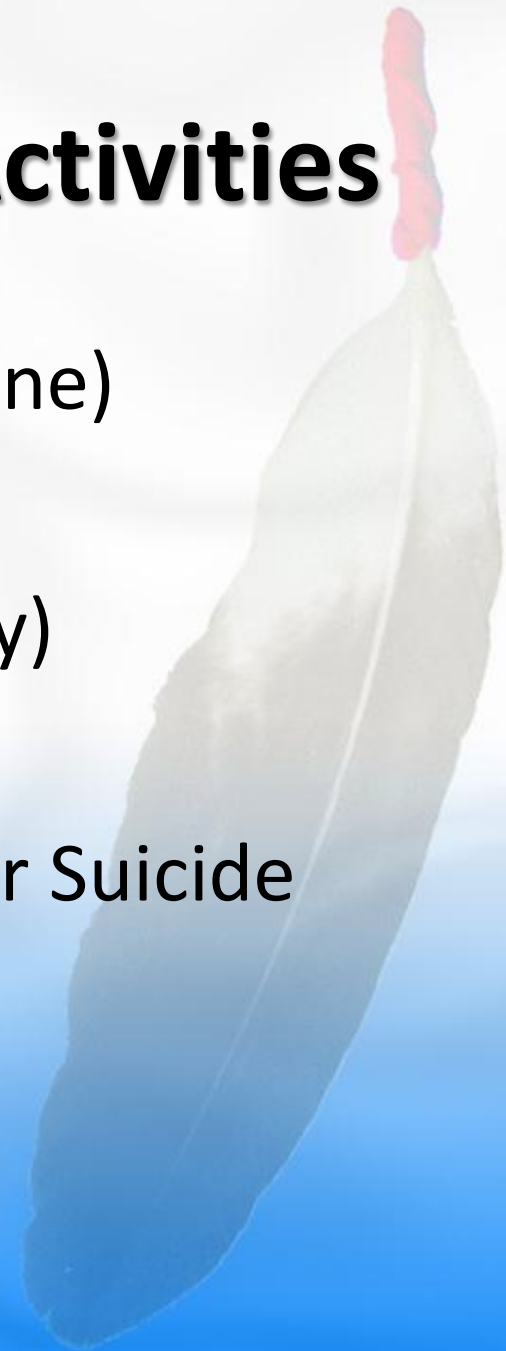
# FY11 Tribal Consultation Activities

- IHS-SAMHSA-BIA Suicide Prevention Listening Sessions (January – February)
- HHS Tribal Budget Formulation (March)
- IHS IT Tribal Shares Listening Sessions (March – May)



# FY11 Tribal Consultation Activities

- Area Listening Sessions (March – June)
- IHS Tribal Consultation Summit (July)
- IHS/BIA/SAMHSA Action Summit for Suicide Prevention (August)



# FY11 Tribal Consultation Activities

- Tribal Consultation Process
- Improving CHS
- Affordable Care Act/IHCIA implementation
- Tribal Delegation Meetings



# HHS Strategic Goal Measure

- **Goal 1:** Transform Health Care
- **Objective E:** Ensure access to quality, culturally competent care for vulnerable populations
- **Measure:** Implements at least 3 additional recommendations from Tribes to improve the Tribal consultation process each year



# HHS Strategic Goal: Progress

- **Formed** Director's Tribal Advisory Workgroup on Consultation
- **Created** [consultation@ihs.gov](mailto:consultation@ihs.gov)
- **Developed** Tribal Consultation website
- **Posted** Letters to Tribal Leaders posted on Director's Blog and via e-mail list serves



# HHS Strategic Goal: Progress (cont'd)

- **Conducted** listening sessions in IHS Areas and met individually with Tribes
- **Hosted** listening sessions and meetings at National conferences (such as NIHB and NCAI)
- **Convened** first IHS Tribal Consultation Summit



# Feedback

Indian Health Service  
801 Thompson Ave Suite 440  
Rockville, MD 20852  
(301) 443-1083

[www.ihs.gov](http://www.ihs.gov)

[consultation@ihs.gov](mailto:consultation@ihs.gov)



# **Tribal Self-Governance Program Cooperative Agreements**

Charles Sockey, MPH  
Policy Analyst  
Indian Health Service  
Office of Tribal Self-Governance



# Tribal Self-Governance Program

- Enables Tribes to assume control of IHS programs, services, functions, and activities (PSFAs) through compacts and funding agreements (FAs) negotiated with IHS



# Grant Authority

- Indian Self-Determination and Education Assistance Act (ISDEAA)

## Sec. 503(e)

- (1) Planning
- (2) Negotiation



# Grant Eligibility

- Federally-recognized Tribe
- Tribal resolution
- Financial stability and management capability (3 years)



# Grants vs. Cooperative Agreements

- Grants = no substantial Federal programmatic involvement anticipated
- Cooperative Agreements = substantial Federal programmatic involvement anticipated
- Same requirements and procedures for both

# Planning Cooperative Agreements

- Gather information on available PSFAs and related funding
- Opportunity to improve and enhance healthcare delivery system to better meet the needs of the Tribal community



# Planning - Application Review Criteria

- Project Narrative (30 points)
- Program Planning (25)
- Program Evaluation (20)
- Budget & Budget Justification (15)
- Progress Report (10)



# Planning Cooperative Agreements

- Available awards = 8/year
- Award amount = \$75,000/each



# Negotiation Cooperative Agreements

- Conduct negotiation activities:
  - Identify which PSFAs will be negotiated and included in compact and FA
  - ID Tribal funding shares to be included in FA
  - Develop terms and conditions of FA

# Negotiation - Application Review Criteria

- Project Narrative (30 points)
- Program Planning (25)
- Program Evaluation (20)
- Budget & Budget Justification (15)
- Progress Report (10)



# Negotiation Cooperative Agreements

- Available awards = 8/year
- Award amount = \$30,000/each



# IHS Roles & Responsibilities

- Describe PSFAs at all levels (SU, Area, HQ)
- Identify staff on program management and delivery methods
- Provide statutes, regulations, and policies with IHS authority to administer programs

# Grantee Roles & Responsibilities

- Research and analyze IHS budget
- Establish process to identify PSFAs that could be incorporated into current Tribal programs
- Determine Tribal share of each PSFA and evaluate current level of services provided

# Application Submission

- Submitted electronically
- Narrative
  - Background on Tribe
  - Proposed scope of work, objectives, activities
- Budget Narrative
- Tribal Resolution



# Award Administration

- Notice
- Administrative Requirements
- Reporting



# Agency Contacts

- Programmatic:
  - Charles Sockey, Policy Analyst, Office of Tribal Self-Governance
    - 301.443.7821
    - [Charles.Sockey@ihs.gov](mailto:Charles.Sockey@ihs.gov)
- Grants Management & Fiscal Matters:
  - John Hoffman, Grants Management Specialist
  - 301.443.9602
  - [John.hoffman2@ihs.gov](mailto:John.hoffman2@ihs.gov)