

DOI Self Governance Requested Reports Continued

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OSG Minimum Data Collection Form

Purpose: Information on SG activity to attach to the Secretary's Annual Report to Congress

Requesting Entity: OSG Director

Estimated Request Date: March 2017

Basis for Request: Implement 25 U.S.C. 458ee and 25 CFR 1000.381

OSG Minimum Data Collection Form

- Work Group of Self-Governance Tribes developed a form to facilitate the collection and submission of data by Self-Governance Tribes.
- This form was modified with the recommendation of the DOI Tribal Self-Governance Advisory Committee.
- An electronic copy can be down loaded
 - OSG Website at <http://64.58.34.34>
(click on “file library” and then next on “forms”)
 - SGCE Website <http://www.tribalselfgov.org>.

OSG Minimum Data Collection Form

The continued growth and support of the Tribal Self-Governance Program is dependent upon the ability of participating tribes and federal agencies to provide to the President, Congress, Tribal Leaders, and other interested parties objective information on how Federal funds are being used under Tribal Self-Governance the progress being made by Tribes under the Program.

(FASSR) Financial Assistance and Social Services Report

Purpose: To determine Welfare Assistance funding distribution and service only caseload.

Requesting Entity: BIA Office of Indian Services

Estimated Request Date: November 2016

Basis for Request: Implement BIA national distribution methodology.

FASSR

WEBINAR PRESENTATIONS

BY: BABETTE HOWE

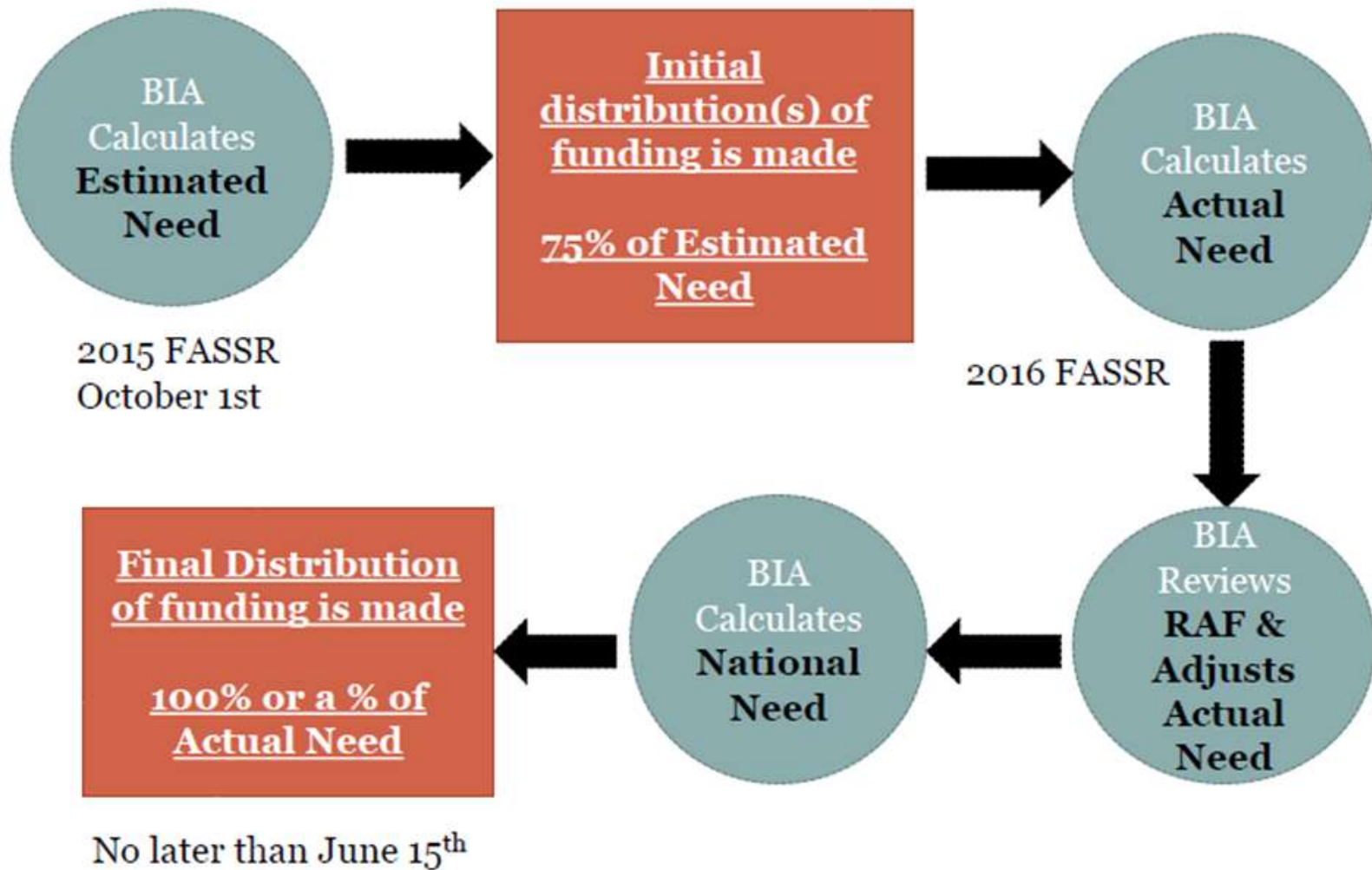
PROGRAM MANAGER

DIVISION OF HUMAN SERVICES
BIA, OFFICE OF INDIAN SERVICES

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2017 Welfare Assistance Funding Roadmap



FASSR

- The methodology is used nationally.
 - The BIA distributes welfare assistance funding each year to Tribes and BIA Agencies based on the approved methodology.
 - Approximately 270 Tribal and Agency programs
 - Outlines the information the BIA uses in order to determine funding levels
 - A method for distributing funds that treats all Tribes and Agencies fairly & equitably;
 - To facilitate a fair and equitable treatment of potential recipients of welfare assistance funds;
 - To address shortfalls in funding; and,
 - To avoid the shutdown of one or more welfare assistance programs.

FASSR

- Funds must be awarded in accordance with a Tribe's self-determination contract or self-governance funding agreement.
- Outlined in Part 70, Chapter 3 of the Indian Affairs Manual (70 IAM 3) -
<https://www.bia.gov/cs/groups/webteam/documents/document/idc2-055217.pdf>

FASSR

- For the current operating year (2017), the Methodology assumes a Tribe or Agency will expend **at or below** what the Tribe or Agency spent in the previous operating years.
- It means the BIA will only fund a Tribe or Agency in 2017, at the **very most** what the Tribe or Agency reported for expenditures in the 2016 FASSR.

FASSR

Request for Additional Funds

- If a Tribe or Agency projects a potential shortfall in FY 2017
- Must demonstrate how the level of expenditures for the **CURRENT** 2017 funding year is greater than the Tribe or Agency's 2016 reported expenditures
 - Comparing the 2016 FASSR to the 2017 FASSR
 - Must describe what would happen if the Tribe or BIA agency were to experience a shortfall in funding for 2017
- Must minimally include four months of ACTUAL data & supported by financial reports or other budgetary documents for the current 2017 operating year.

FASSR

Request for Additional Funds

- Must not request additional funds for 2016 shortfall but can describe the impact the shortfall had in 2016 on the Tribe or Agency
- Must be submitted through your Regional, 477-Office or OSG
- Must be submitted to OIS by the Regional, 477-Office or OSG via a memorandum in support of the Tribe or Agency's RAF
- Due: **NO LATER THAN MAY 15th** to OIS by the Regional Office, 477-Office and OSG.

FASSR

- **Options to consider**
- Monitor your funding
- Internally prioritize the funding based on the needs of your community
- Document this in policy or written protocol
- Notify clients early – regulations require written notification for terminating services
- Notify the community

FASSR

- **Options to consider**
- Begin to explore other state, local, federal, or tribal resources & leverage other resources
- Appropriations Language – gives Tribes and Tribal organizations the flexibility to use other TPA funding to offset a shortfall in Welfare Assistance
- Shutdown the Program

Other Reporting Requests

Purpose: Information on crime reported in Indian country

Requesting Entity: OJS BIA District Special Agent In Charge

Estimated Request Date: Monthly

Basis for Request: Maintain criminal justice information system for Indian country per 25 CFR 12.41

Crime Reports:

Purpose: Information on crime reported in Indian country

Requesting Entity: OJS BIA District Special Agent In Charge

Estimated Request Date: Monthly

Basis for Request: Maintain criminal justice information system for Indian country per 25 CFR 12.41

Comments:

- Usually completed by Tribal Law Enforcement

Labor Force Reports:

Purpose: Information on tribal enrollment, service population and labor force information

Requesting Entity: Office of ASIA

Estimated Request Date: February 2017

Basis for Request: Implement 25 USC 3416

Comments:

- Last Published 2013 with 2010 Data
- Utilized by other agencies for funding distribution

GPRA

Government Performance Results Act

A decorative graphic consisting of a solid teal horizontal bar that spans the width of the slide. Below this bar, on the right side, there are several horizontal lines of varying lengths and colors, including teal and white, creating a stepped, architectural effect.

GPRA Agenda

- Hold Federal Organizations accountable for achieving program results.
- Initiate program performance reform.
- Improve Federal program effectiveness.
- Help Federal managers to improve service delivery.
- Improve Congressional decision making.
- Improve internal management of the Federal Government.
- Require Federal strategic planning.

Measures used by GPRA

- Output measures show what programs produce or provide.
- Examples include training, technical assistance, plans and cases processed
- Outcome measures describe intended results and effects of program/activity.
- Examples include achieving family unity, self-sufficiency, reducing dependence, and eliminating poverty.

How GPRA Measures Are Used

- DOI develops and adopts a five-year strategic plan used in the budget formulation process.
- Measures are incorporated into the Indian Affairs Performance Management System (IA-PMS).
- Measures roll up into the DOI strategic plan to describe annual BIA program performance and accomplishments.

How Does GPRA Agenda Affect Self-Governance Tribes?

- GPRA requirements apply to BIA.
- BIA cannot meet GPRA requirements without data from Self-Governance Tribes.
- Self-Governance Tribes are affected by the degree each GPRA Agenda item is met.

GPRA Provision In Model Self-Governance Funding Agreement

“The Tribe agrees to provide applicable data and information to the BIA Regional Office pursuant to the Government Performance and Results Act of 1993 (P.L. 103-62).

Before providing such information the Tribe will work with its respective Regional Office GPRA Coordinator to determine applicable data and information needed to meet the requirements of the Act.”

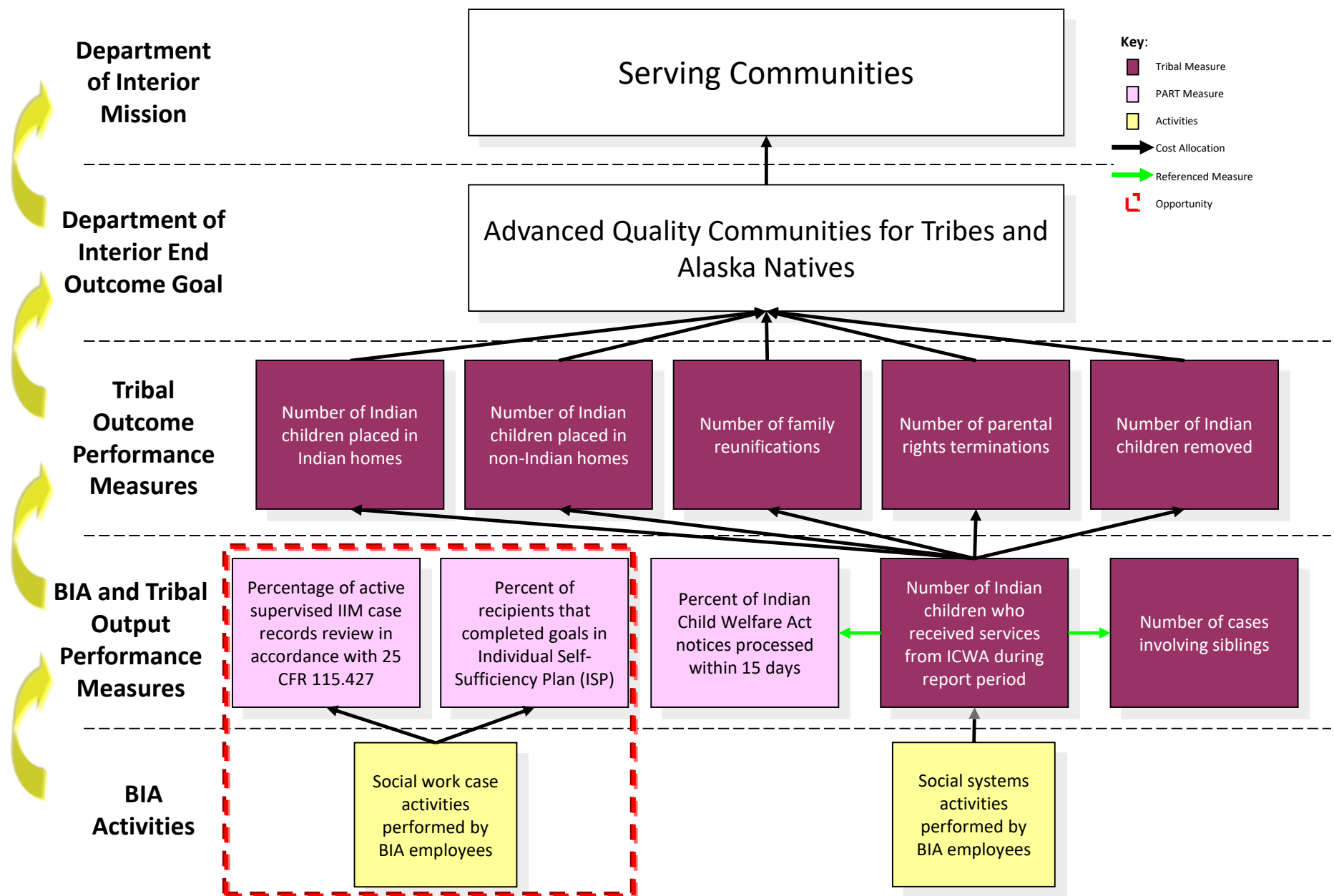
Measure Maps Document the Relationship Between Tribal and BIA Measures

Programs*	Tribal Measures Exist	BIA Measures Exist	Illustrative Map Available**
1. Tribal Government	N	N	
2. Welfare Assistance	Y	Y	
3. Indian Child Welfare Act	Y	Y	Y
4. Child Abuse & Neglect	Y	N	
5. Johnson O'Malley	Y	Y	
6. Scholarships/Higher Education	Y	Y	
7. AVT/Adult Education	Y	N	
8. Tribal Courts	Y	Y	
9. Law Enforcement	Y	Y	Y
10. Community Fire Protection	N	Y	
11. Housing Improvement Program	Y	Y	Y
12. Economic Development Loans (Credit and Finance)	N	Y	
13. Roads	Y	Y	Y
14. Forestry	Y	Y	
15. Fisheries	N	Y	
16. Water Resources/Rights	N	Y	
17. Irrigation	Y	Y	Y
18. Realty/Appraisals	Y	Y	
19. Cadastral Survey	Y	N	
20. Agriculture, Minerals & Grazing	Y	Y	
21. Unresolved Rights Protection	Y	N	
22. Land Titles and Records	N	N	
23. Tribal Annual Audits	N	Y	
24. Economic Development	N	Y	

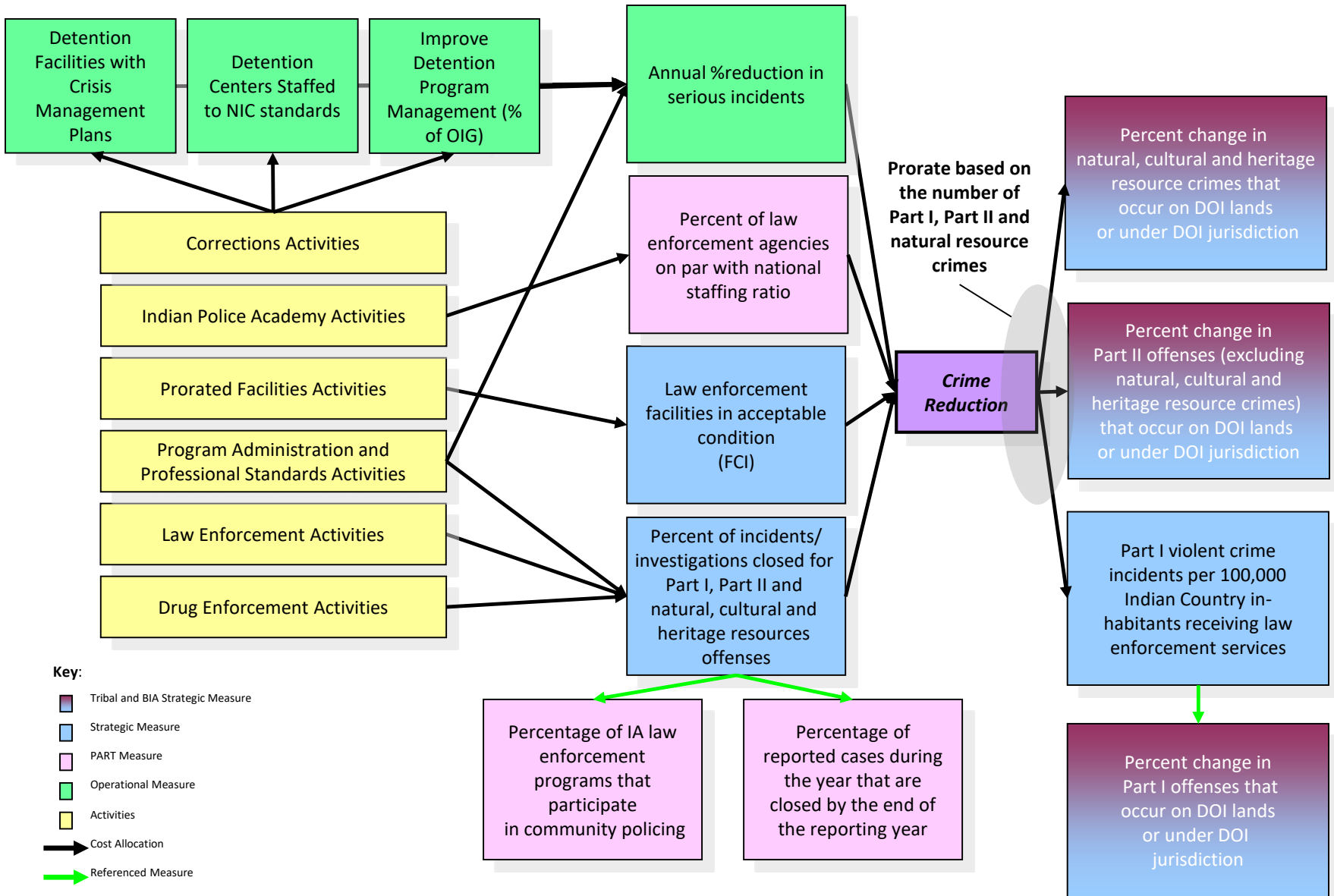
* As identified in the 2007 Self-Governance Minimum Data Collection Form

** As of July 17, 2008

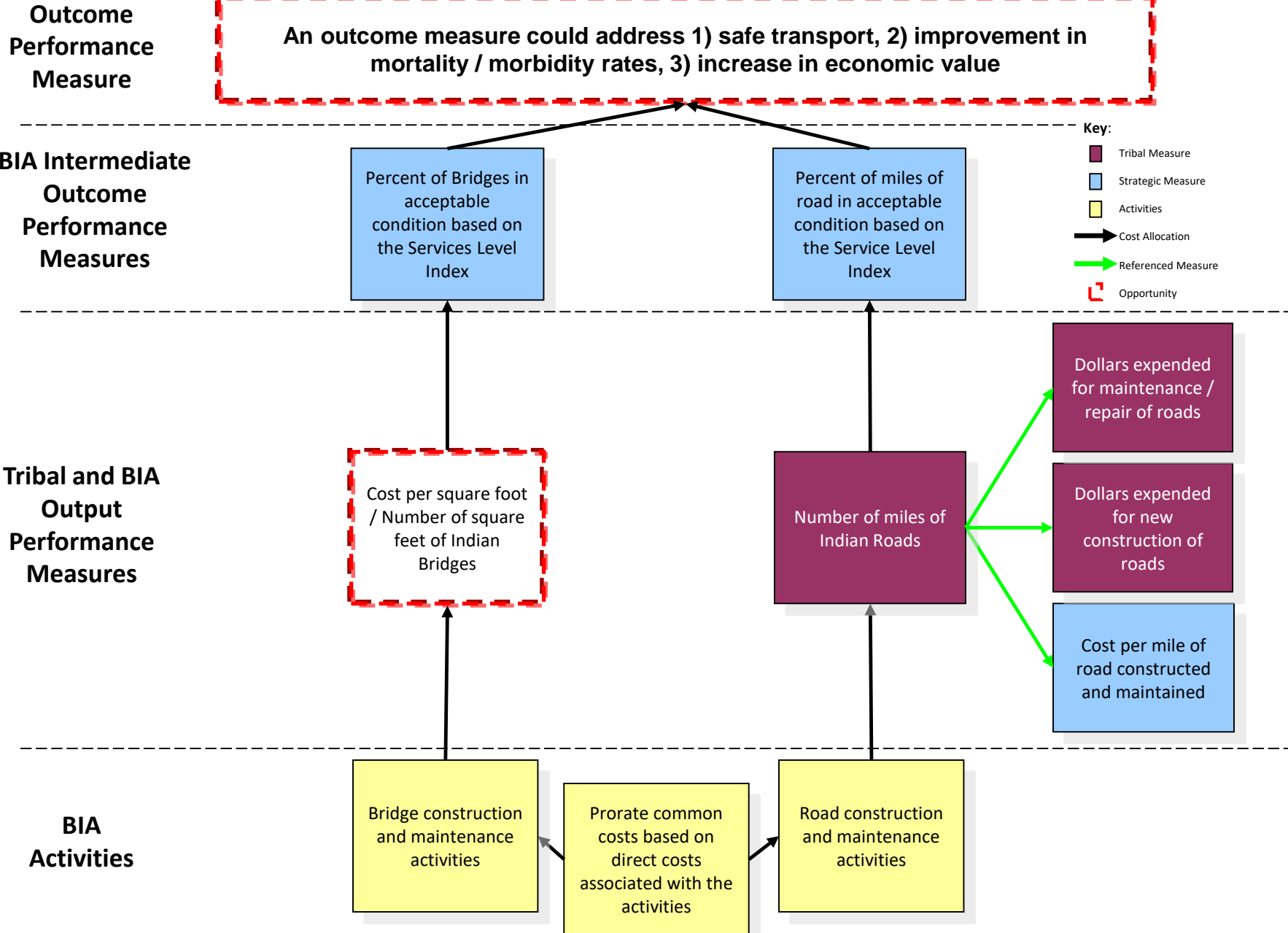
Illustrative Measure Map: Human Services Program



Illustrative Measure Map: Justice Services



Illustrative Measure Map: Roads



Self-Governance Authority

- Title IV of P.L. 93-638, as amended provides Self-Governance with broad authority to consolidate and redesign programs as well as reallocate funding.
- Title IV authority combined with recent initiatives such as P.L. 102-477, Tiwahe, and Climate Change have allowed Self-Governance Tribes to build on the synergy which is gained by integrating related PSFAs to achieve tribally determined goals and objectives in their communities.

Option to Consider

- DOI is currently operating under a GPRA Strategic Plan for FY 2014-2018.
- Existing GPRA Program Performance measures do not always reflect existing authority, service delivery methods, as well as the goals and objectives being pursued in Tribal Communities.
- One possibility is to develop a new set of Program Performance Measures which are more relevant to existing service delivery methods as well as goals and objectives being pursued in Tribal Communities and incorporate them into future GPRA Strategic Plans.

JUST A THOUGHT

“In theory there is no difference between theory and practice. In practice there is.”

Yogi Berra

