

SELF GOVERNANCE FINANCIAL SYSTEMS

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- FBMS
- FUNDING PROCESS
- ASAP
- UDO REPORTS
- FAST ACT TRANSPORTATION
FUNDING
- FUNDING STREAM PROJECT

FBMS

2

Overview

FBMS Overview Screenshot: The interface shows a navigation menu on the left with options like 'FI Home', 'AR', 'Budget Execution', 'Cost Center', 'Documents', 'General Ledger', 'Investments', 'Master Data', 'Misc. Order', 'Postings', 'Project WBS', 'Receiving', and 'Property'. The main content area is titled 'CORE FINANCIALS > DISPLAY > Purchasing > Display PO by Number'. Below this, there is a toolbar and a table for 'Purchasing Documents per Document Number' with various input fields and dropdown menus.

Obligation Overview

PO	Type	Vendor	Name	PGp	Order Date		
Item	Material		Short Text		Mat. Group		
D I A	Plant	SLoc	Order Qty	Un	Net Price	Curr.	per Un
A15AV00	ZG	71317402		A16	01/12/2015		
00010			- CSC CR1		252I		
	I K A000			1	AU	40,858.00	USD 1 AU
			Still to be delivered			0.00	USD 0.00 %
			Still to be invoiced			0.00	USD 0.00 %
00020			- CTGP CR1		252I		
	I K A000			1	AU	98,291.00	USD 1 AU
			Still to be delivered			0.00	USD 0.00 %
			Still to be invoiced			0.00	USD 0.00 %
00030			- Other Aid to Tribal Govt. CR		252I		
	I K A000			1	AU	230.00	USD 1 AU
			Still to be delivered			0.00	USD 0.00 %
			Still to be invoiced			0.00	USD 0.00 %

FUNDING PROCESS

[Bureau and Tribal Responsibilities]

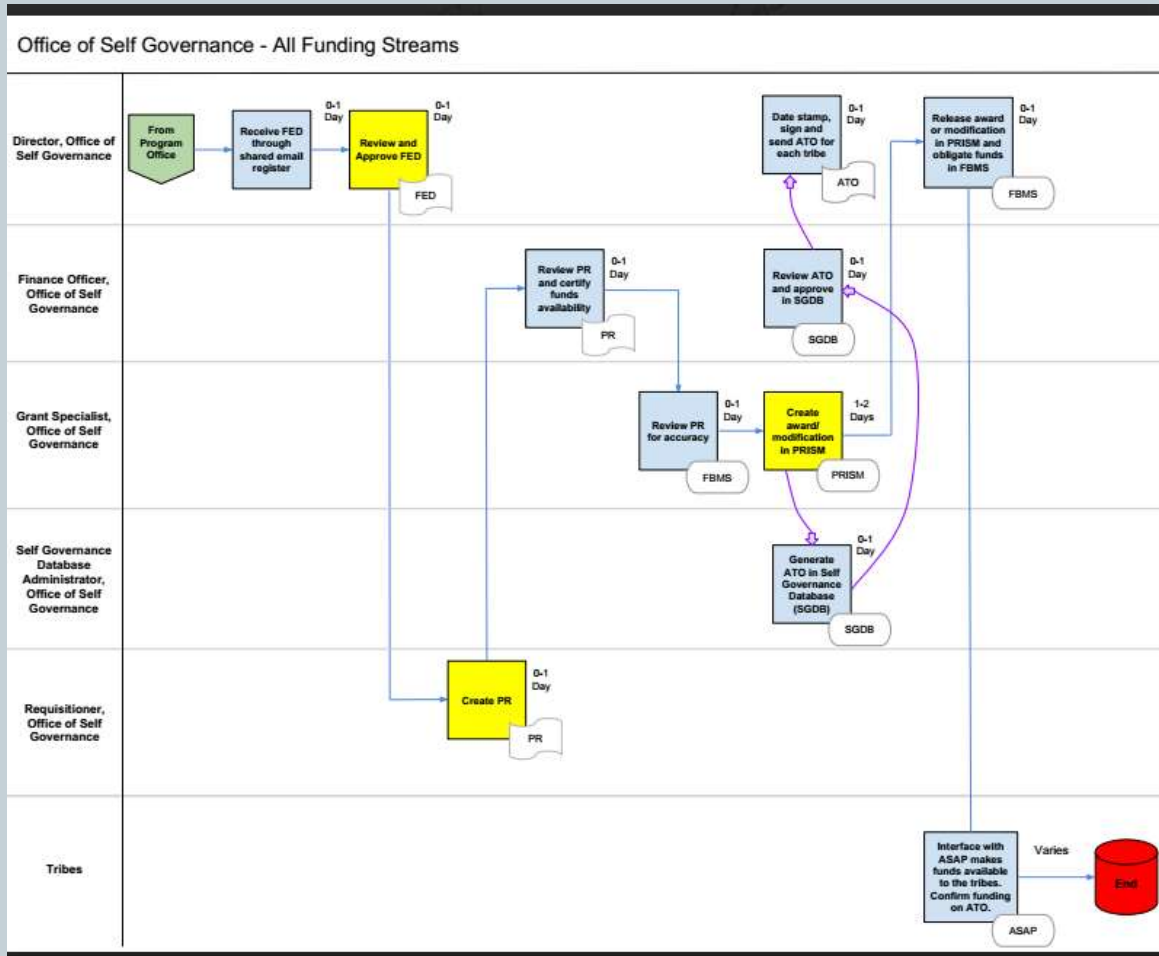
3

- Transfer documentation - FED [FBMS Entry Document]
- Financial commitment - PR [Purchase Requisition]
- Funding Obligation – Modifications/Grant Awards created and released
- Tribal Payment - ASAP [Automated Standard Application for Payments]
- Obligation documentation

Funding Process

Beginning to End

4



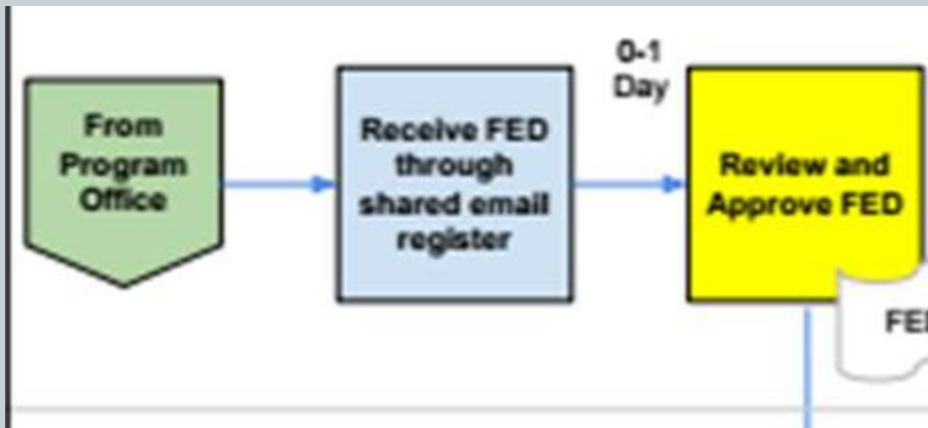
Things that will impact process:

- 5 OSG personnel incl. add'l Central and Regional personnel
- **Will an amendment be required?**
- Type of funding
- Is Tribal data current?

Funding Process

Bureau Function

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- FED is the most important piece of the process – ensure that V.0 and V.1 are correct [Tribal Fund Centers /Functional Areas].
- Include all necessary documentation, i.e. WBS's; project info. and work orders
- Ensure funding is available.
- **Send a copy of award letters to OSG.**
- Become familiar with the SG Tribes within the Region

Funding Process

OSG Function

6

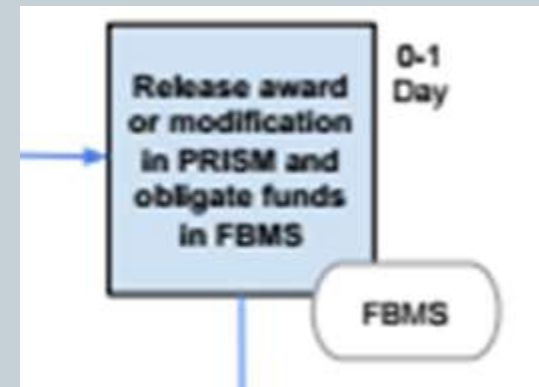


- Purchase Requisitions [PR] commit funding – funds are not obligated to Tribes until a Mod./Award is created and released.
- Transfer errors will delay – Fund Center errors, erroneous Functional Areas [Programs] cited
- **Fund types may delay obligations – reimbursables, construction/facilities**

Funding Process

OSG Function

7

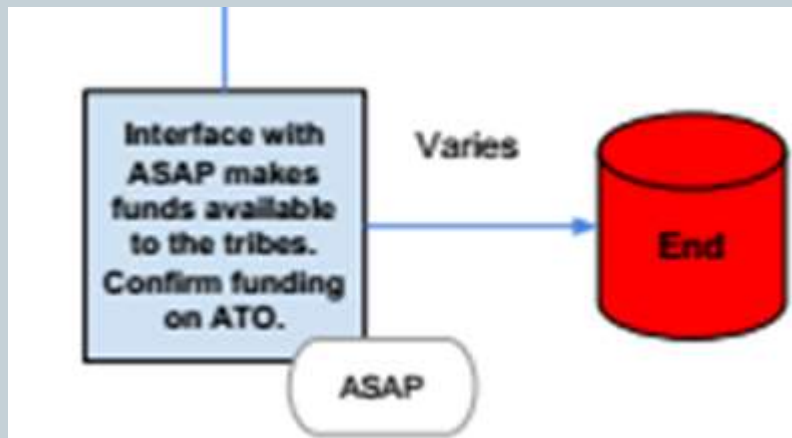


- Function of the Grant Specialist
- Erroneous accounting information cited
- Function of the Awarding Official
- Most issues that arise are resolved within the same day

Funding Process

Tribal Function

8

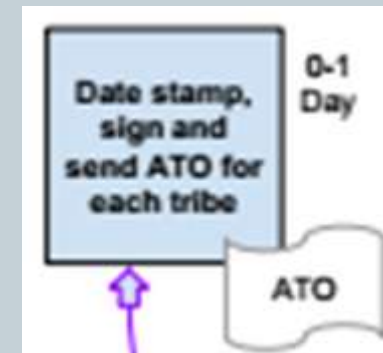
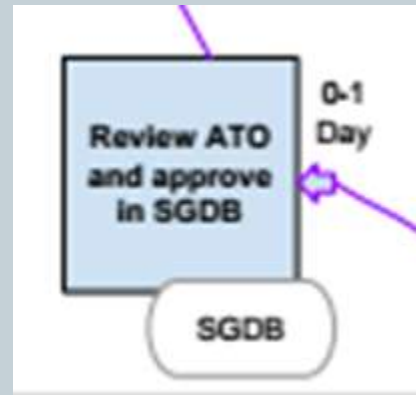


- Tribal data must be current, i.e. **SAM** [System for Award Mgmt.] registration and **ASAP** [Automated Standard Application for Payments] Funds Requestor
- Payments can be requested without an ATO [Authority to Obligate] in hand. Payments are also requested in total.

Funding Process

OSG Function

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- Try to complete obligations in tandem with the Release of the Modification/Grant Award
- Current obligations can be viewed in the New Obligations Next ATO report
- Completed ATO's can be re-printed

ASAP

[Automated Standard Application for Payments]

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- Access
- Pending payment request(s)
- Issues
- Sam.gov

ASAP

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Payments - on the Web

Automated Standard Application for

Log On to ASAP.gov

User ID :	<input type="text"/>
Password :	<input type="password"/>

Logon

[Forgot UserID](#) [Forgot Password](#) [Having Trouble Logging On?](#)

Contact Us

Enroll

ASAP General
Information

which may be used only for authorized purposes. Unauthorized modification of any information stored on this system may result in criminal prosecution. The Government may monitor and audit the usage of this system, and all persons are hereby notified of this fact. Unauthorized attempts to upload information or change information on this service are strictly prohibited and may be punishable under the Computer Fraud and Abuse Act of 1986 and the National Information Infrastructure Protection Act.

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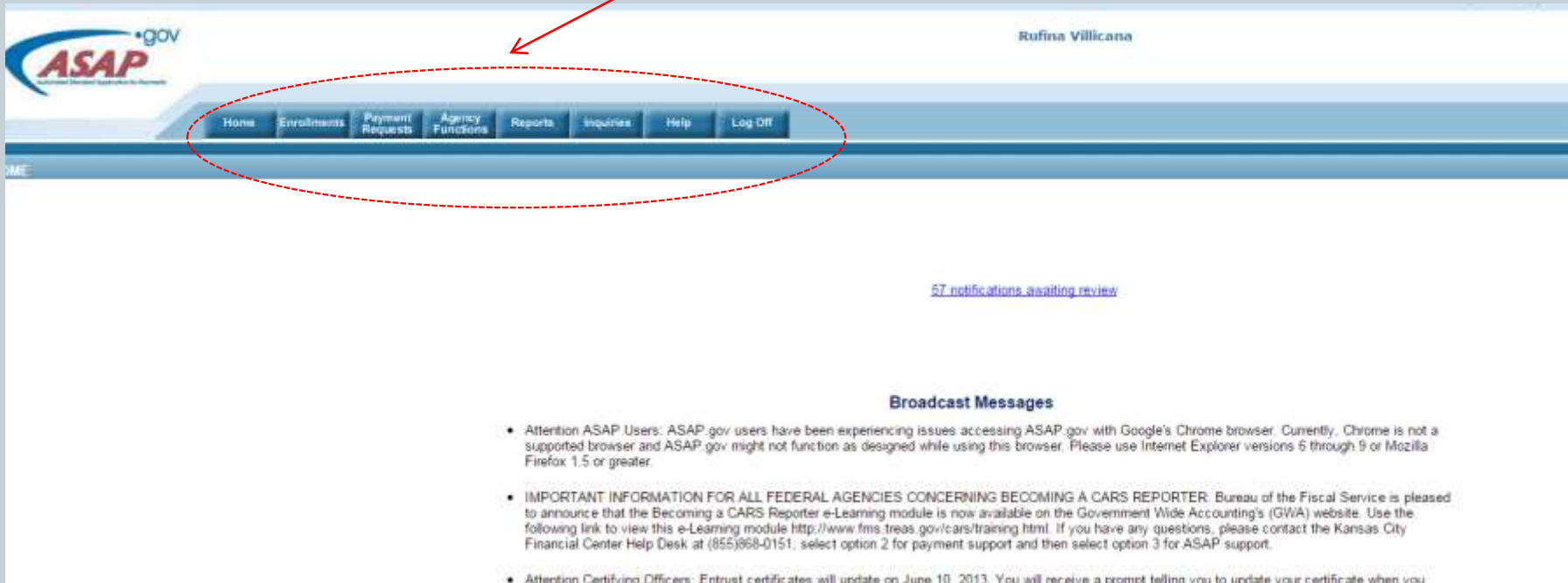
[Bookmark This Page for Quick Access](#)

Bureau ASAP POC: joann.metcalfe@bia.gov

ASAP OVERVIEW

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Tabs available for Overview



The screenshot displays the ASAP.gov website interface. At the top left is the ASAP.gov logo. At the top right, the user name "Rufina Villicana" is displayed. Below the logo and user name is a horizontal navigation bar containing several tabs: "Home", "Enrollments", "Payment Requests", "Agency Functions", "Reports", "Inquiries", "Help", and "Log Off". A red dashed oval highlights this navigation bar, and a red arrow points from the text "Tabs available for Overview" to it. Below the navigation bar, the text "57 notifications awaiting review" is visible. Further down, there is a section titled "Broadcast Messages" containing three bullet points of information.

ASAP.gov

Rufina Villicana

Home Enrollments Payment Requests Agency Functions Reports Inquiries Help Log Off

57 notifications awaiting review

Broadcast Messages

- Attention ASAP Users: ASAP.gov users have been experiencing issues accessing ASAP.gov with Google's Chrome browser. Currently, Chrome is not a supported browser and ASAP.gov might not function as designed while using this browser. Please use Internet Explorer versions 6 through 9 or Mozilla Firefox 1.5 or greater.
- IMPORTANT INFORMATION FOR ALL FEDERAL AGENCIES CONCERNING BECOMING A CARS REPORTER: Bureau of the Fiscal Service is pleased to announce that the Becoming a CARS Reporter e-Learning module is now available on the Government Wide Accounting's (GWA) website. Use the following link to view this e-Learning module <http://www.fms.treas.gov/cars/training.html>. If you have any questions, please contact the Kansas City Financial Center Help Desk at (855)868-0151; select option 2 for payment support and then select option 3 for ASAP support.
- Attention Certifying Officers: Entrust certificates will update on June 10, 2013. You will receive a prompt telling you to update your certificate when you

SGDB

[Self Governance Database]

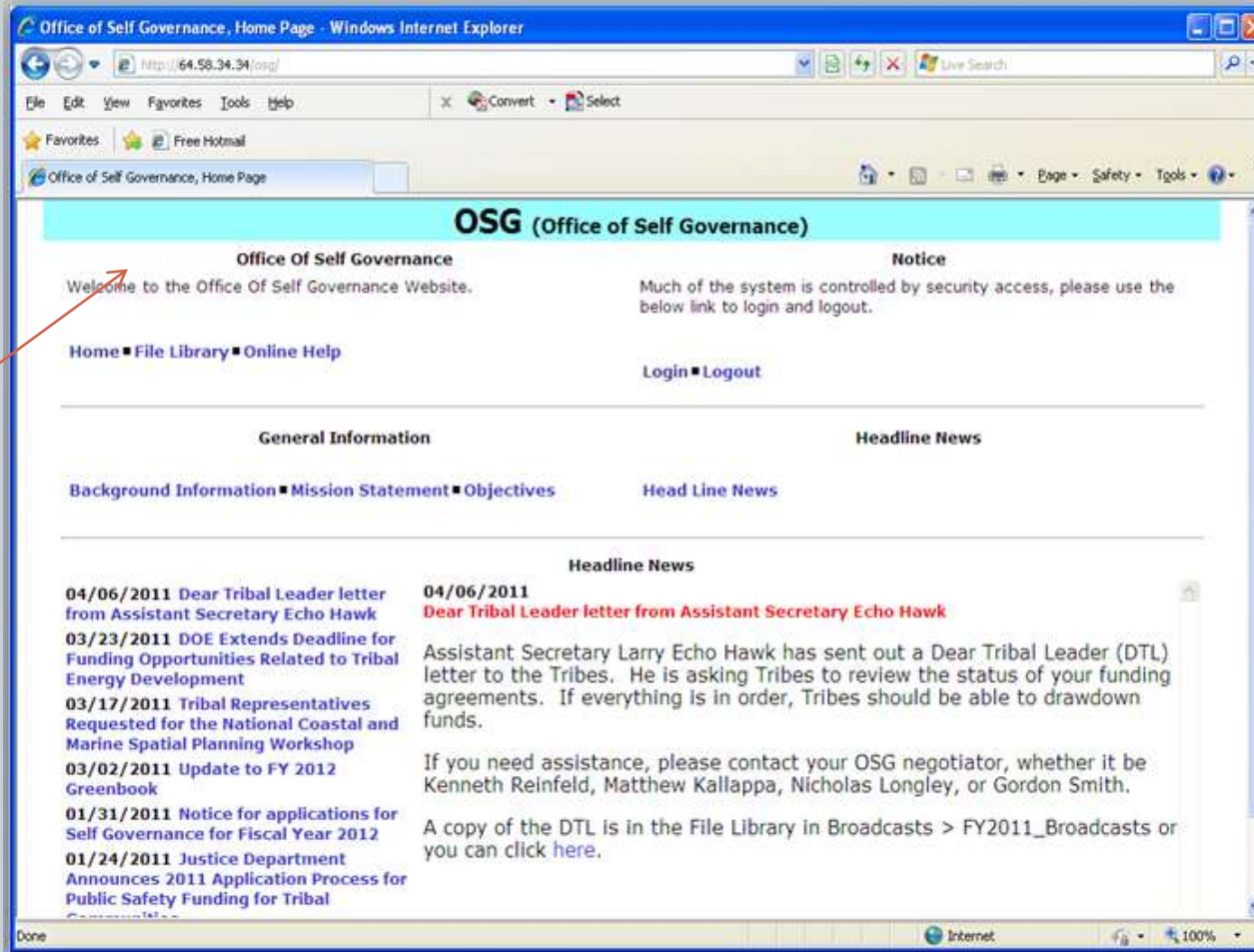


- **ACCESS**
- **ENCODING OBLIGATIONS**
- **REPORTS**
- **ATOS [AUTHORITY TO OBLIGATE]**

SGDB

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Tabs will appear when logged on



ASAP vs. SGDB

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ASAP Account ID

A13AV00043-0002
A13AV00043-0003
A13AV00043-0004
A13AV00043-0005
A13AV00043-0006
A13AV00043-0007
A13AV00043-0008
A13AV00043-0009
A13AV00043-0010
A13AV00043-0011
A13AV00043-0012
A13AV00043-0013
A13AV00043-0014
A13AV00043-0015
A13AV00043-0016
A13AV00043-0017
A13AV00043-0018

SGDB ATO [Authority to Obligate]

REQUEST NO.: OSG1404

DEPARTMENT OF THE INTERIOR

SELF-GOVERNANCE COMPACT/FUNDING AGREEMENT AND AMENDMENTS

AUTHORITY TO OBLIGATE

COMPACT NO.: GT-OSGT124-13

DOC REQUEST NO.: 13

COMPACT TRIBE/TRIBAL CONSORTIUM:

COMPACT PERIOD: Fiscal Year 2013

Award NO.: A13AV00043

DATE: Friday, December 19, 2014

DUNS NO.: 103364097



UDO REPORT

[Undelivered Orders]

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- Overview
- Issues
- Quarterly Certifications
- KPMG Review

UDO REPORT

Date of Analysis: 11/18/2014

Business #	Registration #	Reg #	Orig Date	Last Used	Type	Fund Code	Department	Fund Area	Vendor ID	Bal Budget	AMT Budget	Unbudgeted	Days Exp	Pct of Pop	Pct of Pct	Delivery C	Legacy No	UDY and PCS	POP Expend	GAIN/DEM	Last Activity	No POP & Last Activity > 90 Days		Expend POP & -90 Days	= \$25,000.00 open amount less than 3% of 05/09/14	Reprin	\$30FAR
																						Days	Days				
AC00	46000071843	10	11/19/2014	11/24/2014	134A310000	AA60000000	210000	AD4810110 999900	0000000004	1,876,818.00	1,876,818.00	186,000.00	21	9/30/2014	05/1/2014	05/14/2014	05/14/2014	Net Travel	Expend	09/17/14	11/24/14	Net Applicable	Net Applicable	Net Applicable	Net Applicable	AAKS	636,287
AC00	46000071844	10	9/29/2014	9/29/2014	134A310000	AA60000000	210000	AD4810110 999900	0000000000	30,000.00	30,000.00	30,000.00	78	9/30/2014	05/1/2014	05/1/2014	05/1/2014	Net Travel	Net POP	09/27/14	09/27/14	Net Applicable	Net Applicable	Net Applicable	Net Applicable	AAKS	636,287
AC00	46000071845	10	9/29/2014	9/29/2014	134A310000	AA60000000	210000	AD4810110 999900	0000000000	49,000.00	49,000.00	49,000.00	78	9/30/2014	05/1/2014	05/1/2014	05/1/2014	Net Travel	Net POP	09/27/14	09/27/14	Net Applicable	Net Applicable	Net Applicable	Net Applicable	AAKS	636,287
AC00	46000071847	10	9/29/2014	9/29/2014	134A310000	AA60000000	210000	AD4810110 999900	0000000000	205,833.00	205,833.00	205,833.00	78	9/30/2014	05/1/2014	05/1/2014	05/1/2014	Net Travel	Net POP	09/27/14	09/27/14	Net Applicable	Net Applicable	Net Applicable	Net Applicable	AAKS	636,287
AC00	46000071848	10	11/25/2014	11/25/2014	134A310000	AA60000000	210000	AD4810110 999900	0000000004	303,047.00	303,047.00	303,047.00	21	9/30/2014	04/1/2014	11/25/2014	04/1/2014	Net Travel	Expend	09/27/14	09/27/14	Net Applicable	Net Applicable	Net Applicable	Net Applicable	AAKS	636,287
AC00	46000071849	10	9/30/2014	9/30/2014	134A310000	AA60000000	210000	AD4810110 999900	0000000000	41,264.00	41,264.00	41,264.00	807	9/30/2014	1/20/2014	02/27/2014	02/27/2014	Net Travel	Expend	09/17/14	10/24/14	Net Applicable	Net Applicable	Net Applicable	Net Applicable	AAKS	636,287
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AC00	46000071851	10	9/30/2014	9/30/2014	134A310000	AA60000000	210000	AD4810110 999900	0000000000	100,000.00	100,000.00	100,000.00	235	9/30/2014	03/1/2014	9/30/2014	03/1/2014	Net Travel	Expend	09/27/14	09/27/14	Net Applicable	Net Applicable	Net Applicable	Net Applicable	AAKS	636,287
AC00	46000071852	10	9/30/2014	9/30/2014	134A310000	AA60000000	210000	AD4810110 999900	0000000000	50,000.00	50,000.00	50,000.00	88	9/30/2014	03/1/2014	9/30/2014	03/1/2014	Net Travel	Expend	09/27/14	09/27/14	Net Applicable	Net Applicable	Net Applicable	Net Applicable	AAKS	636,287
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AC00	46000071855	10	9/30/2014	9/30/2014	134A310000	AA60000000	210000	AD4810110 999900	0000000000	26,187.00	26,187.00	26,187.00	445	9/30/2014	03/1/2014	9/30/2014	03/1/2014	Net Travel	Net POP	09/27/14	09/27/14	Net Applicable	Net Applicable	Net Applicable	Net Applicable	AAKS	636,287
AC00	46000071856	10	9/30/2014	9/30/2014	134A310000	AA60000000	210000	AD4810110 999900	0000000000	10,842.00	10,842.00	10,842.00	891	9/30/2014	03/1/2014	9/30/2014	03/1/2014	Net Travel	Net POP	09/17/14	09/27/14	Net Applicable	Net Applicable	Net Applicable	Net Applicable	AAKS	636,287
AC00	46000071857	10	9/30/2014	9/30/2014	134A310000	AA60000000	210000	AD4810110 999900	0000000000	75,000.00	75,000.00	75,000.00	160	9/30/2014	03/1/2014	9/30/2014	03/1/2014	Net Travel	Expend	09/27/14	09/27/14	Net Applicable	Net Applicable	Net Applicable	Net Applicable	AAKS	636,287
AC00	46000071858	10	9/30/2014	9/30/2014	134A310000	AA60000000	210000	AD4810110 999900	0000000000	1,180.00	1,180.00	1,180.00	126	9/30/2014	03/1/2014	9/30/2014	03/1/2014	Net Travel	Expend	09/27/14	09/27/14	Net Applicable	Net Applicable	Net Applicable	Net Applicable	AAKS	636,287
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AC00	46000071860	10	9/30/2014	9/30/2014	134A310000	AA60000000	210000	AD4810110 999900	0000000000	247.00	247.00	247.00	117	9/30/2014	03/1/2014	9/30/2014	03/1/2014	Net Travel	Expend	09/27/14	09/27/14	Net Applicable	Net Applicable	Net Applicable	Net Applicable	AAKS	636,287
AC00	46000071861	10	9/30/2014	9/30/2014	134A310000	AA60000000	210000	AD4810110 999900	0000000000	41,387.00	41,387.00	41,387.00	30	9/30/2014	03/1/2014	9/30/2014	03/1/2014	Net Travel	Expend	09/27/14	09/27/14	Net Applicable	Net Applicable	Net Applicable	Net Applicable	AAKS	636,287
AC00	46000071862	10	11/26/2014	11/26/2014	134A310000	AA60000000	210000	AD4810110 999900	0000000000	14,772.00	14,772.00	14,772.00	89	9/30/2014	03/1/2014	9/30/2014	03/1/2014	Net Travel	Expend	09/17/14	09/27/14	Net Applicable	Net Applicable	Net Applicable	Net Applicable	AAKS	636,287
AC00	46000071863	10	11/26/2014	11/26/2014	134A310000	AA60000000	210000	AD4810110 999900	0000000000	55,000.00	55,000.00	55,000.00	140	9/30/2014	03/1/2014	9/30/2014	03/1/2014	Net Travel	Expend	09/27/14	09/27/14	Net Applicable	Net Applicable	Net Applicable	Net Applicable	AAKS	636,287
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AC00	46000071865	10	11/26/2014	11/26/2014	134A310000	AA60000000	210000	AD4810110 999900	0000000000	1,807.00	1,807.00	1,807.00	188	9/30/2014	03/1/2014	9/30/2014	03/1/2014	Net Travel	Expend	09/27/14	09/27/14	Net Applicable	Net Applicable	Net Applicable	Net Applicable	AAKS	636,287
AC00	46000071866	10	11/26/2014	11/26/2014	134A310000	AA60000000	210000	AD4810110 999900	0000000000	354.00	354.00	354.00	117	9/30/2014	03/1/2014	9/30/2014	03/1/2014	Net Travel	Expend	09/27/14	09/27/14	Net Applicable	Net Applicable	Net Applicable	Net Applicable	AAKS	636,287
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AC00	46000071868	10	11/26/2014	11/26/2014	134A310000	AA60000000	210000	AD4810110 999900	0000000000	84,174.00	84,174.00	84,174.00	24	9/30/2014	03/1/2014	9/30/2014	03/1/2014	Net Travel	Expend	09/17/14	09/27/14	Net Applicable	Net Applicable	Net Applicable	Net Applicable	AAKS	636,287

FAST ACT Transportation Funding

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- Full funding currently available
- Addendums/Amendments
- Issues
- Distributions/POOs [Point of Obligation]

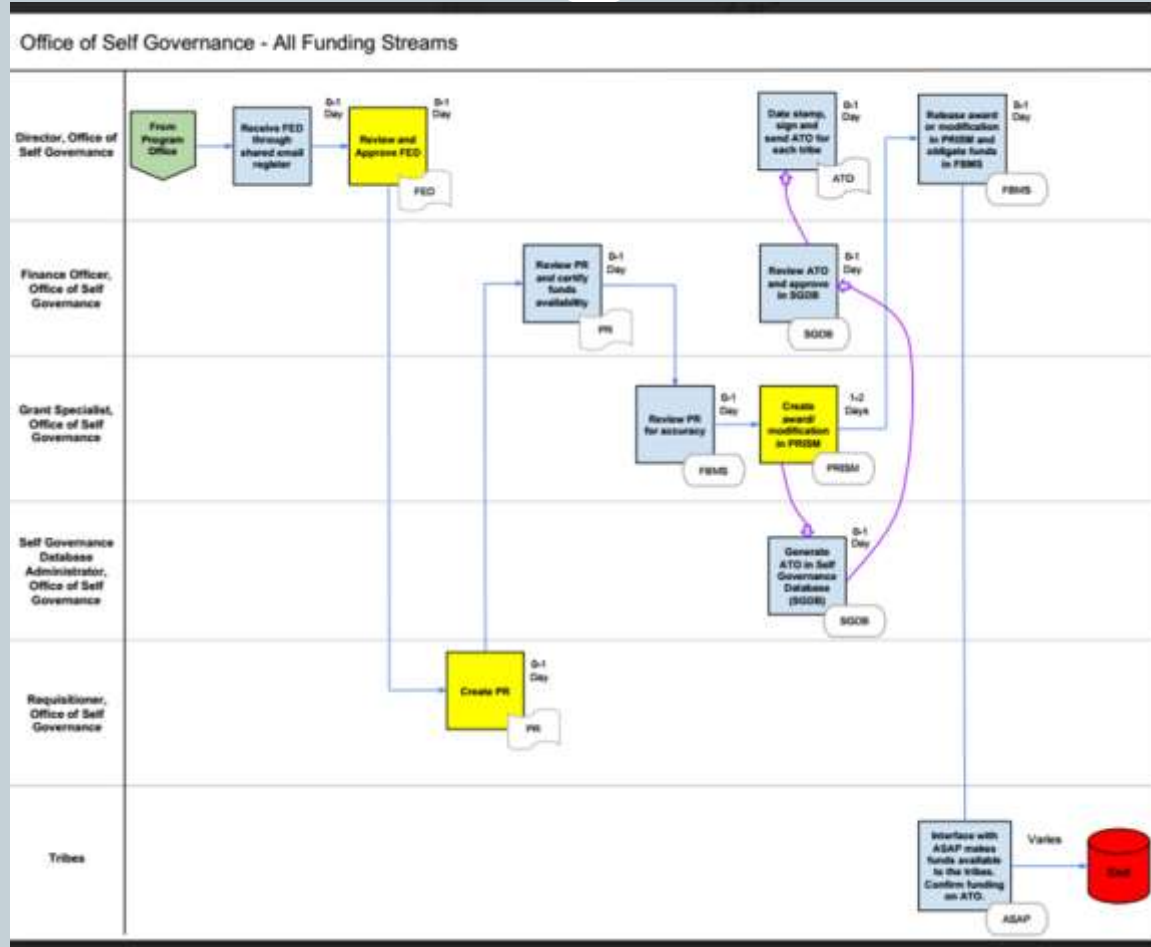
Streamlining Process

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- Special Project for funds distribution
- Central/Regional Offices, 638 Contracting and OSG Participation
- OSG Flowchart

Flowchart

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OFFICE OF SELF GOVERNANCE FINANCE

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