



**U.S.
DEPARTMENT
OF THE
INTERIOR –
OFFICE OF
SELF
GOVERNANCE**

**SELF GOVERNANCE
FINANCIAL
TRAINING**

Financial Training 2.0

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OFFICE OF SELF GOVERNANCE

Content



- Greenbook
- How Tribal Priority Allocation (TPA) Base works
 - Implementation of Across the Board (ATB) Rescissions and Sequester
 - New Changes on Self Governance Financial Operations
 - Self Governance Database (SGDB)

Self Governance (SG) in the Greenbook



Where to find the Annual Greenbook online

<http://www.bia.gov/DocumentLibrary/index.htm>

How Tribal Priority Allocation (TPA) Base work



When a new Tribe joins Self Governance, a TPA Base is established by transferring funding from BIA to OSG for the programs selected by the Tribe.

Funding available for transfers is identified in the Greenbook by the OSG Negotiator, the Tribe and BIA staff. Also, OSG Finance participates when needed.

How Tribal Priority Allocation (TPA) Base work...cont



SG Reprogramming Request – First Year Tribe Joins Self Governance

Self Governance 2014 Funding Agreement - Reprogramming Request

Office of Self-Governance

Tribe:

BIA Tribal Organization Code:

OSG Tribal Compact Code:

BIA Area Office:

BIA Agency Office:

Line Item	Program Title	Cost Code	Info Tribal Share	A OSG Cumulative Base	B OSG Shortfall Base	C OSG Shortfall Request	D BIA Reprogram Request	E=A+B+C+D total AFA	FN
23	Trust Management Improvement Project (UTB) - NON TPA	A3A00	0	0	0	0	0	0	
45	Economical Development - NON TPA	C6010	0	0	0	0	0	0	
48	Job Placement and Training - TPA/Tribal	C9035	33,499	0	0	0	0	0	
51	Economic Development - TPA/Tribal	C9110	0	0	0	0	10,330	10,330	1
58	Education Line Officers - NON TPA	E5030	0	0	0	0	1,937	1,937	2
61	Johnson O'Malley - TPA/Tribal	E9040	0	0	0	0	23,245	23,245	2
65	Tribal Scholarships - TPA/Tribal	E9310	0	0	0	0	58,112	58,112	2
68	Tribal Adult Education - TPA/Tribal	E9320	0	0	0	0	15,496	15,496	2
75	Social Services - NON TPA	H6010	0	0	0	0	0	0	
76	Housing Development - NON TPA	H6030	0	0	0	0	0	0	
78	Social Services - TPA/Region	H9010	0	0	0	0	2,807	2,807	3
79	Social Services - TPA/Tribal	H9010	0	0	0	0	80,894	80,894	4
80	Welfare Assistance - TPA/Tribal	H9130	0	0	0	0	23,078	23,078	5
83	Indian Child Welfare Act - TPA/Tribal	H9220	0	0	0	0	53,462	53,462	4
84	Housing Improvement Program - TPA/Tribal	H9370	0	0	0	0	0	0	6
86	Criminal Investigations/Police Service - NON TPA	J3000	0	0	0	0	529,806	529,806	7

How Tribal Priority Allocation (TPA) Base work...cont



During the budget formulation process, OSG Finance submits the Tribal requested transfers to be included in the next FY Budget (Reprogramming Request column D).

Once we have a full budget for the FY after the Tribe joins Self Governance, the SG Reprogramming Request will show the funding under column A at the level enacted by Congress.

How Tribal Priority Allocation (TPA) Base work...cont



SG Reprogramming Request – 2nd Year and After Tribe Joins Self Governance

Self Governance 2014 Funding Agreement - Reprogramming Request
 Tribe: JAMESTOWN S'KLALLAM TRIBE
 BIA Tribal Organization Code: P06129
 OSG Tribal Compact Code: OSGT129
 BIA Area Office: P00100 - NORTHWEST REGION
 BIA Agency Office: P06100 - OLYMPIC PENINSULA

Office of Self-Governance

Line Item	Program Title	Cost Code	Info Tribal Share	A OSG Cumulative Base	B OSG Shortfall Base	C OSG Shortfall Request	D BIA Reprogram Request	E=A+B+C+D Total AFA
122	Natural Resources, General (UTB) - NON TPA	N5A10	0	0	0	0	0	0
128	Wildlife and Parks (UTB) - NON TPA	N6A50	0	1,093	0	0	0	1,093
143	Wildlife & Parks Program (UTB) - TPA/Region	N9E50	0	3,462	0	0	0	3,462
144	Wildlife & Parks Program (UTB) - TPA/Tribal	N9E50	0	116,308	0	0	0	116,308
149	Litigation Support - NON TPA	R3210	0	0	0	0	0	0
157	Trust Services (UTB) - NON TPA	R5C10	0	0	0	0	0	0
158	Real Estate Services (UTB) - NON TPA	R5C40	0	0	0	0	0	0
173	Trust Services (UTB) - TPA/Region	R9A10	0	510	0	0	0	510
174	Trust Services (UTB) - TPA/Tribal	R9A10	0	100	0	0	0	100
177	Real Estate Services Program (UTB) - TPA/Agency	R9C70	0	-17	0	0	0	-17
179	Real Estate Services Program (UTB) - TPA/Tribal	R9C70	0	0	0	0	0	0
186	Self-Governance Grants (Shortfalls) - NON TPA	T3300	0	0	267,320	0	0	267,320
187	Community Services, General - NON TPA	T5010	0	0	0	0	0	0
188	Tribal Government Services - NON TPA	T5020	0	0	0	0	0	0
190	All Other Aid to Tribal Government - NON TPA	T6020	0	2,496	0	0	0	2,496
192	Other Aid to Tribal Government - TPA/Agency	T9020	0	2,200	0	0	0	2,200
194	Other Aid to Tribal Government - TPA/Tribal	T9020	0	143,100	0	0	0	143,100
198	Self-Governance Compacts - TPA/Tribal	T9240	0	-263,673	0	0	0	-263,673
199	Self-Governance Compacts-Adjustments - TPA/Tribal	T9240a	0	0	0	0	0	0
200	Contract Support - TPA/Region	T9370	0	0	0	0	1,194,664	1,194,664
205	TPA General Increase - TPA/Tribal	T9901	0	221,841	0	0	0	221,841
206	638 Pay Costs - TPA/Tribal	T9902	0	246,481	0	0	0	246,481
207	Retirement Adjustment - TPA/Tribal	T9903	0	5,200	0	0	0	5,200
	Report Total		0	923,390	267,320		1,781,865	2,972,575



How Tribal Priority Allocation (TPA) Base work...cont



When Congress enacts Pay Cost associated with any of the programs within Self Governance TPA base, funds are allocated to the Pay Cost line item directly on the Reprogramming Request and not to the particular program associated with the increase as done in BIA.

Implementation of ATB Rescissions and Sequester



When Congress enacts an Across the Board (ATB) rescission, OSG allocates the reduction amount to the Self Governance Compacts line item directly on the Reprogramming Request and not to the particular program associated with the decrease as done in BIA.

Implementation of ATB Rescissions and Sequester...cont



Self Governance 2014 Funding Agreement - Reprogramming Request

Office of Self-Governance

Tribe: JAMESTOWN S'KLALLAM TRIBE

BIA Tribal Organization Code: P06129

OSG Tribal Compact Code: OSGT129

BIA Area Office: P00100 - NORTHWEST REGION

BIA Agency Office: P06100 - OLYMPIC PENINSULA

Line Item	Program Title	Cost Code	Info Tribal Share	A OSG Cumulative Base	B OSG Shortfall Base	C OSG Shortfall Request	D BIA Reprogram Request	E=A+B+C+D Total AFA
122	Natural Resources, General (UTB) - NON TPA	N5A10	0	0	0	0	0	0
128	Wildlife and Parks (UTB) - NON TPA	N6A50	0	1,093	0	0	0	1,093
143	Wildlife & Parks Program (UTB) - TPA/Region	N9E50	0	3,462	0	0	0	3,462
144	Wildlife & Parks Program (UTB) - TPA/Tribal	N9E50	0	116,308	0	0	0	116,308
149	Litigation Support - NON TPA	R3210	0	0	0	0	0	0
157	Trust Services (UTB) - NON TPA	R5C10	0	0	0	0	0	0
158	Real Estate Services (UTB) - NON TPA	R5C40	0	0	0	0	0	0
173	Trust Services (UTB) - TPA/Region	R9A10	0	510	0	0	0	510
174	Trust Services (UTB) - TPA/Tribal	R9A10	0	100	0	0	0	100
177	Real Estate Services Program (UTB) - TPA/Agency	R9C70	0	-17	0	0	0	-17
179	Real Estate Services Program (UTB) - TPA/Tribal	R9C70	0	0	0	0	0	0
186	Self-Governance Grants (Shortfalls) - NON TPA	T3300	0	0	267,320	0	0	267,320
187	Community Services, General - NON TPA	T5010	0	0	0	0	0	0
188	Tribal Government Services - NON TPA	T5020	0	0	0	0	0	0
190	All Other Aid to Tribal Government - NON TPA	T6020	0	2,496	0	0	0	2,496
192	Other Aid to Tribal Government - TPA/Agency	T9020	0	2,200	0	0	0	2,200
194	Other Aid to Tribal Government - TPA/Tribal	T9020	0	143,100	0	0	0	143,100
198	Self-Governance Compacts - TPA/Tribal	T9240	0	-263,673	0	0	0	-263,673
199	Self-Governance Compacts-Adjustments - TPA/Tribal	T9240a	0	0	0	0	0	0

New Changes on SG Financial Operations



In FY 2013, BIA transitioned from the old Federal Financial System (FFS) to the Financial and Business Management System (FBMS). The following functions/areas changed with this transition.

- **Responsibilities of OSG Finance** – in the past BIA staff completed transactions in FFS with OSG's authorization and monitoring. Now, OSG staff has to perform these transactions in FBMS. That includes, creating purchase requests, completing awards/obligations, and releasing awards.

New Changes on SG Financial Operations...cont



- **Payments** - FBMS eliminated the responsibility from BIA and OSG of processing payments. Tribes are now responsible for requesting payments under a Treasury system called Automated Standard Application for Payments (ASAP). www.asap.gov
- **Allocation and Obligation of Funds** – OSG was authorized to allocate and obligate all Operation of Indian Program (OIP) Non-UTB program funding into one single account (T9240). Under FBMS, funds outside of SG TPA base have to be allocated and obligated to the individual programs (up to 200+ programs).

New Changes on SG Financial Operations...cont



- Allocation and Obligation of Funds...cont – OSG was also authorized to allocate and obligate funds to one single organization (OSG/K800) and from there pay all SG Tribes. Under FBMS, OSG has to allocate and obligate funds to every single SG Tribe (up to the numbers of Agreements in a given FY-111 for FY14).
Ex. Tribe XY Org Code is now AAK808820T

- Program Codes – Under FBMS program codes (now functional area) went from 5 to 16 digits.

Ex. T9240 is now AOT924040.999900

New Changes on SG Financial Operations...cont



- **Reconciliation** – The reconciliation process increased from approximately 20 accounts (rollup) to up to 200+ program accounts.
- **SGDB** – OSG's internal financial system is still in use for the benefit of the Tribes but has to be modified to be compatible with FBMS (i.e. program codes, award numbers, DUNS numbers, rollup accounts, etc.).

New Changes on SG Financial Operations...cont

Sample FBMS screen.

ACQUISITION/FINANCIAL ASSISTANCE > ACQUISITION > Purchasing > Display Purchase Requisition

Purchase Requisition Edit Environment System Help

Document Overview On Personal Setting

Grants Funded PR 20044289

Header

Action	S...	Item	I	Sho...	Σ Quant...	Unit	Val. Pr...	Total Val.	Deliv. Date	PGr	Plant	UPC	A	Material Group	Created by	Supervisor	Ad-hoc A...	CC
1		10	D	Abs...	1	AU	197,...	197,1...	09/30/2015	A...	IA	252I0000	K	IndianSlfDetrmtnSrv	SSTEWA01	DSANTI...		
1		20	D	Cher...	1	AU	486,...	486,5...	09/30/2015	A...	IA	252I0000	K	IndianSlfDetrmtnSrv	SSTEWA01	DSANTI...		
1		30	D	Chic...	1	AU	563,...	563,7...	09/30/2015	A...	IA	252I0000	K	IndianSlfDetrmtnSrv	SSTEWA01	DSANTI...		

Item [10] Absentee Shawnee-FY'14 Remaining Bas...

Services Limits Material Data Quantities/Dates Valuation Account Assignment Source of Supply Status Contact Person Texts Delivery A...



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