



# Trust Evaluation System

Self-Governance Compacts

*Re-engineered Process  
(i.e. Tribal Methodology)*

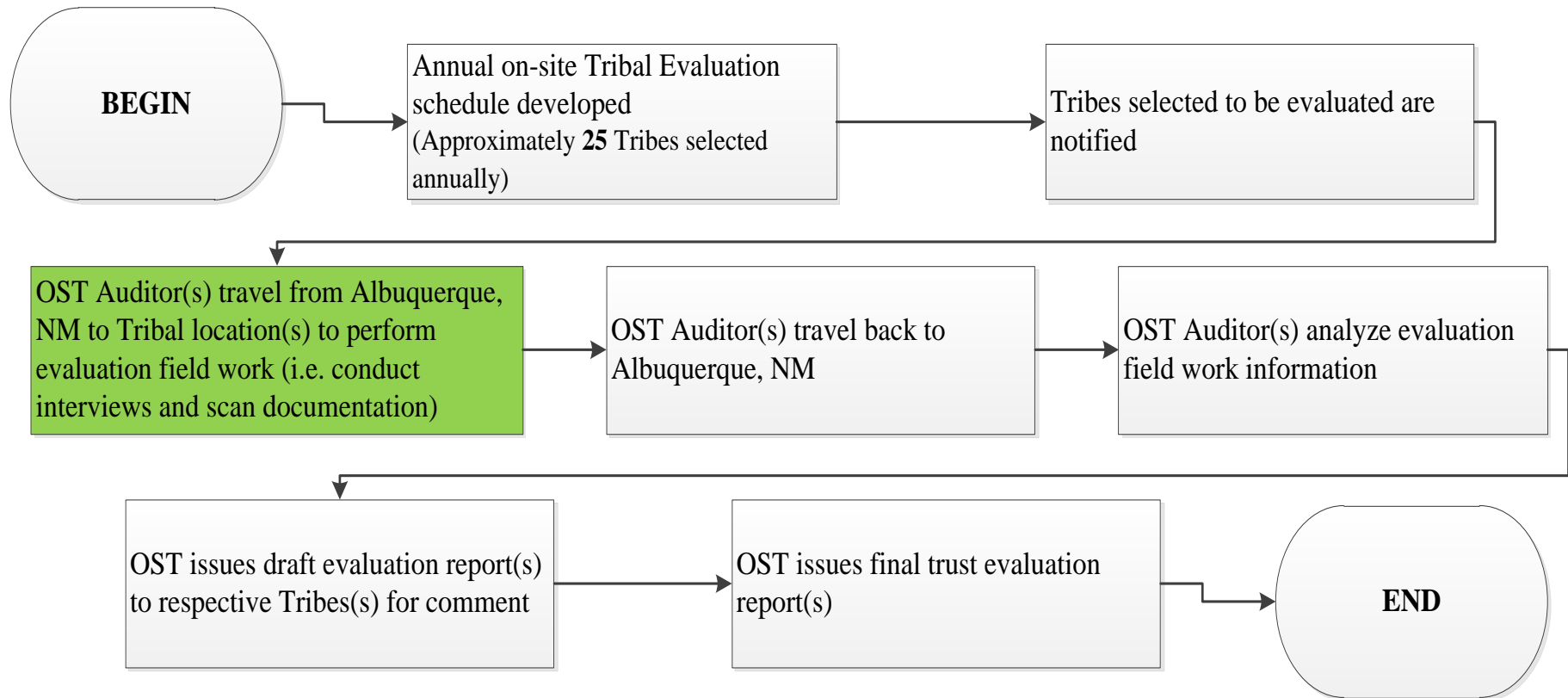


## Trust Evaluations

- Required annually for Tribes/Consortiums that manage trust programs under Self Determination Compact agreements. (25 CFR, Part 1000.354)
- Performed by the Office of the Special Trustee for American Indians (OST)
- Criteria (25 CFR, Part 1000.355)

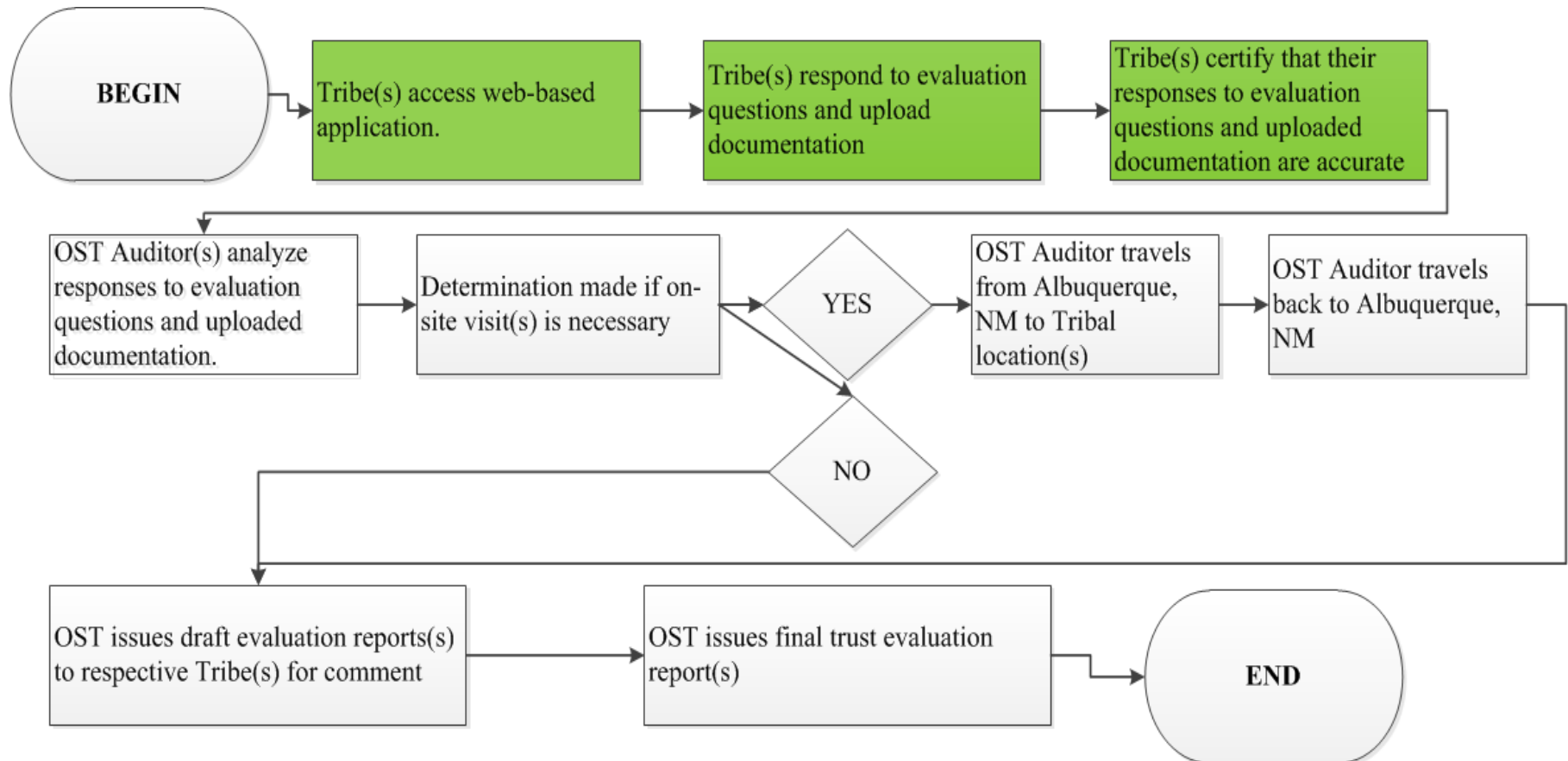


# Current Trust Evaluation Process





# Re-engineered Trust Evaluation Process





## For Tribes/Consortiums

- Less intrusive
- Time to complete the evaluation will be more flexible
- Feedback will be more timely
- Evaluation report will be received more timely

## For OST


- Information Technology (IT) is leveraged
- Evaluation processes are streamlined and more efficient
- Travel costs will be significantly reduced
- Overall costs to perform evaluations will be reduced
- More evaluations will be performed with the same amount of resources



# Web-based application screenshot

The screenshot displays the OST web application interface. At the top, there is a navigation bar with 'HOME', 'ABOUT', 'CONTACT', 'ASSESSMENT', and 'LOGOFF'. The main header area includes the OST logo, the user's name 'Heather Kie', the title 'Acquisition & Disposals Evaluation 17-001T CY Test Tribe for Len', and a user profile for 'Employee Test'.

The main content area features a progress bar with five stages: 'Not Started', 'Working' (highlighted in green), 'In Review', 'Working', and 'Approved'. Below this, there are two tabs: 'Evaluation' and 'Required Documents'. The 'Evaluation' tab contains a table with the following data:

Question	Response	Upload Required	Comment
1 Do tribal employees have access to Trust Fund Accounting System (TFAS) at the Tribe's location for this particular program?	Yes		
2 Do tribal employees have access to Trust Asset & Accounting Management System (TAAMS) at the Tribe's location for this particular program?	Yes		
3 Do you maintain a log to document every transaction? (25 CFR §1000.355(d)(7))	Yes	Yes	
4a If yes, please upload log of approved transactions within scope of review....		<input type="button" value="Browse"/> 	
4 Do you utilize a checklist to process each individual trust transaction? (25 CFR §1000.355(d)(7))	Yes	Yes	

Below the table, it states 'Started: 09/07/2016 - 19/19 questions completed' and 'Assigned: Employee Test'. There are 'Save', 'Print', and 'Submit for Review' buttons.

The 'Required Documents' tab shows a table of uploaded files:

Name	Description	Uploaded By	Modified	Reference	Size (MB)
CSKT Logo.gif		Employee Test	03/17/2017 12:36 PM	17-24T-Acquisition & Disposals-4a	0.1
TCC Logo.PNG		Employee Test	03/17/2017 12:36 PM	17-24T-Acquisition & Disposals-3a	0.5
D16PD00233_Oneida of Wisconsin tribal		Employee Test	03/21/2017 13:06 PM	17-24T-Acquisition & Disposals-9a	0.4

At the bottom, there are 'Download', 'Delete', and 'Print' buttons. The Windows taskbar is visible at the very bottom of the screenshot.



# Web-based application screenshot

The screenshot shows a web browser window with the URL `itrsqa.ambvisualsolutions.com/Assessment#2017-24-17`. The application header includes navigation links (HOME, ABOUT, CONTACT, ASSESSMENT, LOGOFF) and a user greeting: "Hello Employee Test - Employee".

The main content area is titled "Acquisition & Disposals Evaluation 17-001T CY Test Tribe for Len". It features a progress bar with stages: Not Started, Working (highlighted in green), In Review, Working, and Approved.

On the left, a "My Workspace" sidebar shows a tree view for the year 2017, with "Acquisition & Disposals" selected. Below this is a "Menu" section with "Users/Roles" and "Reports".

The main table displays evaluation items under the "Required Documents" tab. The table has columns for Description, Upload, Notes, and Date Uploaded.

Description	Upload	Notes	Date Uploaded
<b>Program: Acquisition &amp; Disposals</b>			
Upload log of approved transactions within scope of review (If Applicable)	Browse TCC Logo.PNG x		03/17/2017 12:36 PM
Upload a checklist to process each individual trust transaction (If Applicable)	Browse CSKT Logo.gif x		03/17/2017 12:36 PM
Upload a list of backlogged transactions (If Applicable)	Browse D16PD00233_Oneida of Wisconsin tribal logo_provided by OST 14Jul2016.jpg x		03/21/2017 13:06 PM
Upload policies and/or procedures (If Applicable)	Browse		
Upload policies that address potential conflicts of interest (If Applicable)	Browse		
If you have Negotiated Sales, Upload consent/waiver for sales less than Fair Market Value (If Applicable)	Browse		
If you have Negotiated Sales, Upload a sample of a sealed bid (If Applicable)	Browse		
If you have Negotiated Sales, Upload a sample of land owner consent accepting a bid for under Fair Market Value (If Applicable)	Browse		

At the bottom of the table, it indicates "Started: 09/07/2016" and "Assigned: Employee Test". There are "Save" and "Print" buttons at the bottom right.



## Accomplishments to Date

- Developed re-engineered evaluation process
- Manually tested the re-engineered evaluation procedures on the 9 pilot tribes
- Refined and finalized evaluation questions.
- Established webpage ([www.doi.gov/ost/tribal-methodology](http://www.doi.gov/ost/tribal-methodology)) that has up-to-date project status and other pertinent information (i.e. FAQs)
- Web-based application is over 70% complete
- Conducted User Acceptance Testing of tribal module (2/27 - 3/10/2017)





## Upcoming

### *User Acceptance Testing*

- *Tribal Module (05/01 – 05/05/2017)*
- *Administrative and Auditor Modules (05/08 – 05/12/2017)*

### *Departmental Approvals – In Process*

- *Security*
- *- Cloud Hosting*
- *- Section 508 Compliance*

### *Implementation and Rollout – Fiscal Year 2018*

OFFICE OF THE SPECIAL TRUSTEE FOR AMERICAN INDIANS  
U.S. DEPARTMENT OF THE INTERIOR



# Questions / Comments



# Contact Information

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**For additional information, please visit the project website:**

**[www.doi.gov/ost/tribal-methodology](http://www.doi.gov/ost/tribal-methodology)**