

U.S. Department of the Interior
Office of the Special Trustee for American Indians
Office of Trust Review & Audit
Management Questionnaire

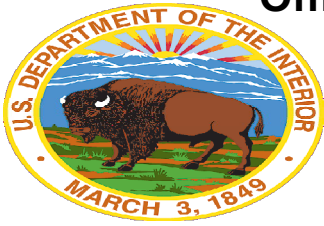
Contact Person:
 Title:
 Phone Number:
 Email:

Tribe:
 Evaluation Period:

TTE#: OTRA USE ONLY

Conclusion: *(List any findings or issues noted within the Trust Management questionnaires)*

Question		Response	Upload Required?	Tribe's Comments
1	Does the Tribe have approval authority waivers to sign Trust transactions without secretarial approval (may be shown in the form of a corporate charter)?	Yes or No	No	
1a	If yes, please upload waiver		Yes	
2	Amount of Tribal Trust Acres:		No	
3	Amount of Individual owned Trust Acres:		No	
4	Total Reservation acreage under the jurisdiction of the Tribe (include trust and fee lands):		No	
5	Total Tribal Enrollment:		No	
6	Are there any ongoing investigations or investigations that transpired during the the evaluation period which are related to trust assets? (25 CFR §1000.355(d)(7))	Yes or No	No	
6a	If yes, has a report been issued?	Yes or No	No	
6a1	If yes, please upload the report. If no, please summarize the investigation in progress and upload applicable documentation. Include the name and phone number of the investigator.		Yes	
6a2	If yes, was a corrective plan created?		No	



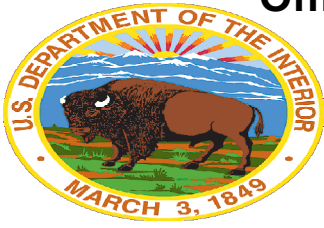
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Question		Response	Upload Required?	Tribe's Comments
6a2a	If yes, please upload the corrective action plan.		Yes	
6a2a1	If yes, was the corrective action plan implemented?	Yes or No	No	
6a2a1a	If yes, please upload the corrective action plan and the documentaion to show the corrective action was taken.		Yes	
6a2a1b	If no, please explain what action has been taken thus far and what the Tribe is doing to continue to act on the corrective action plan.		No	
7	Does the Tribe coordinate with the Lockbox Coordinator at the Regional Office on a quarterly basis to determine if there are any funds in the Suspense Deposit Account (SDA). (25 CFR §1000.355(d)(7))	Yes or No	No	
8	Do you have a policy in place to address potential conflicts of interest? (25 CFR §1000.355(d)(7))	Yes or No	No	
8a	If yes, please provide copy of policy.		Yes	
9	Are you aware of any instances of fraud, waste or abuse affecting trust assets/programs?	Yes or No	No	



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9a	If yes, please explain these instances.		No	
10	Are key duties and responsibilities divided or segregated among different people to reduce the risk of error, waste, or fraud, i.e., conflicts of interest, no one person is allowed to control all key aspects of a transaction? (25 CFR §1000.355(d)(7))	Yes or No	No	
10a	If yes, upload the Tribe's policy or desk operating procedures.		Yes	
10b	If no, please explain.		No	
11	Did the tribe submit 2 copies of the audit financial statements and single audit report to the Office of Internal Evaluation and Assessment (OIEA)? (Reference MYFA/AFA)	Yes or No	No	